F@RM.PTO-1390 (REV. 5-9

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER

5986/1F684-US1

018200

anno parta distapto T A DEC 2001

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US00/16559

15 June 2000

16 June 1999

TITLE OF INVENTION

HETERODIMERIC OPIOD G-PROTEIN COUPLED RECEPTORS APPLICANT(S) FOR DO/EO/US					
Applicar	at herewith submits to the United States Designated/Elected office (DO/EO/US) the following items and other information:				
1. [X]	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.				
2. [This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S. C. 371.				
3. []	This is an express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S. C. 371 (b) and PCT Articles 22 and 39 (1).				
4. 🔯	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.				
3. 4. 5. 5. 6. 1 6. 7.	A copy of the International Application as filed (35 U.S. C. 371 (c) (2)) a. [] is transmitted herewith (required only if not transmitted by the International Bureau). b. [] has been transmitted by the International Bureau c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English (35 U.S. C. 371 (c)2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. [] are transmitted herewith (required only if not transmitted by the International Bureau).				
C Harry	b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made.				
8. []	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c) (3)).				
9. [X]	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned)				
10. []	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).				
Items 1	I. to 16. below concern other document(s) or information included:				
11. []	An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (with references).				
12. []	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13. [X]	A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. EXPRESS MAIL OFFICIAL				

14. [] A substitute specification.

15. [] A change of power of attorney an/or address letter.

16. [] Other items or information:

12/14/01 2767720048US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express the Color Office

Name (Print)

Signature

AC13 Rec'd PO 1/P10 1 4 DEC 2001

U.S. APPLICATION NO JE K	100 100 100 100 100 100 100 100 100 100	INTERNATIONAL APPLICATION NO.: PCT/US00/16559		Attorney's Docket Number 5986/1F684-US1		
17. [x] The following fees are submitted:					PTO USE ONLY	
Basic National Fee (37 C Search Report has been						
International preliminary examination fee paid to USPTO (37 CFR 1.482)xxxxxx						
No international preliminary examination fee paid to USPTO(37 CFR 4.482) but international search fee paid to USPTO (37 CFR 1.445 (a) (2) \$740.00						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,040.00						
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$710.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than []20 []30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
Claims Number Filed Number Extra Rate						
Total Claims	35-20	15	15 X \$18.00	\$270.00		
Indèpendent Claims	7-3	4	4 X \$84.00	\$ 336 .00		
Multiple dependent claims(s) (if applicable) + 280						
was.		TOTAL OF	ABOVE CALCULATIONS =	\$1316.00		
Reduction by 1/2 for filing b	\$658.00					
SUBTOTAL =						
Processing fee of \$130.00 f months from the earliest cla	\$					
TOTAL NATIONAL FEE =						
Fee ter recording the enclose appropriate cover sheet (37	\$0.00					
TOTAL FEES ENCLOSED =						
1					\$	
					\$	
a. [X] A check in the amount of \$658.00 to cover the above fees is enclosed.						

- b. [] Please charge my Deposit Account No.04-0100 in the amount of \$ to cover the above fees.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Paul F. Fehlner
Darby & Darby P.C.
805 Third Avenue
New York, New York 10022-7513

SIGNATURE_

NAME Irina E. Vainberg

REGISTRATION NO. 48,008

må
Ţ
ŢŲ
įΞ
1_2
T.
Į.
1

EXPRESS MAIL CERTIFICATE

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR **DEPOSIT ACCOUNT NO. 04-0100**

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post

Customer No.:



PATENT TRADEMARK OFFICE

Docket No: 5986/1F684-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lakshmi A. DEVI and Bryen A. JORDAN

Serial No.: t/b/a (U.S. National Phase of International Application No.

PCT/US00/16559 filed June 15, 2000)

Filed: Concurrently herewith

HETERODIMERIC OPIOID G-PROTEIN COUPLED RECEPTORS For:

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

December 14, 2001

Attn.: Box PCT DO/EO/US

Sir:

Prior to examination, please amend the above-identified application as

follows:

IN THE SPECIFICATION:

Page 1, after line 10, insert the following:

CROSS REFERENCE TO RELATED APPLICATIONS

This is the U.S. national phase application based upon International Application No. PCT/US00/16559 filed June 15, 2000, and published in English on December 21, 2000.

This application claims the benefit of priority under 35 U.S.C. §119 based upon U.S. Provisional Application Serial No. 60/139,528 filed June 16,1999.

The entire disclosures of the prior applications are incorporated herein by reference.

IN THE CLAIMS:

Please amend claim 32 as follows:

32. (Amended) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a compound of claim 24.

Please add claims 33-35:

33. (New) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a pharmaceutical composition of claim 29.

34. (New) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a pharmaceutical composition of claim 30.

35. (New) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a pharmaceutical composition of claim 31.

REMARKS

The specification has been amended to make reference to the prior applications.

Claim 32 has been amended to eliminate multiple claim dependencies.

Claims 33-35 have been added.

A marked up version of the amendments pursuant to 37 CFR 1.121 is enclosed.

Entry of these amendments are respectfully requested.

Respectfully submitted,

Irina E. Vainberg Reg. No. 48,008 Agent for Applicants

DARBY & DARBY P.C. 805 Third Avenue New York, New York 10022 212-527-7700 PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail To

dressee" service

Docket No: 5986/1F684-US1

December 14, 2001

Customer No.:

EXPRESS MAIL CERTIFICATE

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lakshmi A. DEVI and Bryen A. JORDAN

Serial No.: t/b/a (U.S. National Phase of International Application No.

PCT/US00/16559 filed June 15, 2000)

Filed: Concurrently herewith

HETERODIMERIC OPIOID G-PROTEIN COUPLED RECEPTORS

MARK-UP OF AMENDED SPECIFICATION AND CLAIMS OF DECEMBER 14, 2001

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Attn.: Box PCT DO/EO/US

Sir:

IN THE SPECIFICATION:

CROSS REFERENCE TO RELATED APPLICATIONS

This is the U.S. national phase application based upon International Application No. PCT/US00/16559 filed June 15, 2000, and published in English on December 21, 2000.

This application claims the benefit of priority under 35 U.S.C. §119 based upon U.S. Provisional Application Serial No. 60/139,528 filed June 16,1999.

The entire disclosures of the prior applications are incorporated herein by reference.

IN THE CLAIMS:

- 32. (Amended) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a compound of claim 24 [or a pharmaceutical composition of claim 29, 30 or 31].
- 33. (New) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a pharmaceutical composition of claim 29.
- 34. (New) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method

comprises administering a therapeutically effective dose of a pharmaceutical composition of claim 30.

35. (New) A method of treating a disease or disorder selected from the group consisting of chronic pain, drug abuse, schizophrenia, depression, central reward pathway, HIV infection, cardiovascular disease, and hypertension, which method comprises administering a therapeutically effective dose of a pharmaceutical composition of claim 31.

10

15

20

25

30

PCT/US00/16559

10 Rec'd PCT/PTO 14.4 DEC 2001

HETERODIMERIC OPIOID G-PROTEIN COUPLED RECEPTORS

The research leading to the present invention was supported, in part, by National Institute of Health Grants DA 08863 (National Institute of Drug Abuse) and NS1788 (National Institute of Neurological Diseases and Stroke). Thus, the United States Government has certain rights in the invention.

FIELD OF THE INVENTION

The present invention relates generally to opioid receptors, and more specifically to heterodimer opioid receptor, as well as to methods for identifying modulators (agonists and antagonists) of such receptors. The invention also relates to identification of novel heterodimer receptor ligands and synergistic compositions, which can provide strategies for analgesia, narcotic addiction, hypertension, and immune system function.

BACKGROUND OF THE INVENTION

The opioid system modulates several physiological processes including analgesia, stress response, immune response, and neuroendocrine function (Herz, *Opioids* Vol. 1, Springer-Verlag, Berlin, 1993). Pharmacological and molecular cloning studies have identified three opioid receptor types, delta (δ) , kappa (κ) , and mu (μ) , that mediate these diverse effects (Miotto *et al.*, The Pharmacology of Opioid Peptides, L. Tseng ed., 57-71, Harwood Acad. Publishers, 1995; Kieffer *et al.*, Cell Mol. Neurobiol., 15:615-35, 1995). The opioid receptors are known to couple with pertussis toxin sensitive G-proteins.

Little is known, however, about the ability of these receptors to interact to form new functional structures, the simplest of which would be a dimer. Structural and biochemical studies reveal that other G-protein coupled receptors (GPCRs) interact to form homodimers (Herbert and Bouvier, Biochem Cell Biol., 76:1-11,

10

20

25

30

1998; Gouldson *et al.*, Protein Eng., 11:1181-93, 1998). Moreover, non-functional GABA receptors heterodimerize to form a functional receptor, suggesting that dimerization is crucial for this receptor function (Jones *et al.*, Nature, 396:674-679, 1998; Kaupmann, *et al.*, Nature, 396:683-687, 1998; White *et al.*, Nature, 396:679-682, 1998; and Kuner *et al.*, Science, 283:74-77, 1999).

It is now clear from work carried out in many laboratories over the last twenty years that there are three well-defined or "classical" types of opioid receptors: mu (μ), delta (δ), and kappa (κ). Genes encoding these receptors have been cloned (Evans *et al.*, Science, 258:1952, 1992; Kieffer *et al.*, Proc. Natl. Acad. Sci. USE, 89:12048, 1992; Chen *et al.*, Mol. Pharmacol., 44:8, 1993; Minami *et al.*, FEBS Lett., 329:291, 1993). More recently, cDNA was identified encoding an "orphan" receptor that has a high degree of homology to the "classical" opioid receptors; on the basis of

its structural homology, this receptor has been classified as an opioid receptor and has been named ORL (opioid receptor-like) (Mollereau *et al.*, FEBS Lett., 341:33, 1994).

As would be predicted from their known abilities to couple through pertussis toxin-sensitive G-proteins, all of the cloned opioid receptors possess the same general

structure of an extracellular N-terminal region, seven transmembrane domains and intracellular C-terminal tail structure. There is pharmacological evidence that subtypes of each receptor exist. Other types of novel, less well-characterized opioid receptors (termed ϵ , γ , ι , ζ) have also been postulated. The σ -receptor, however, is no

longer regarded as an opioid receptor.

Opioid receptors are reviewed extensively in a publication entitled "Opioid" edited by A. Herz and in a publication from Tocris Cookson Inc. (USA)/Tocris Cookson Ltd. (UK) entitled "Opioid Receptors", co-authored by A. Corbett, S. McKnight and G. Henderson, 1999.

μ-Receptor Subtypes

The MOR-1 gene, encoding for one form of the μ -receptor, shows approximately 50-70% homology to the genes encoding for the δ -(DOR-1), κ -(KOR-1) and orphan (ORL₁) receptors. Two splice variants of the MOR-1 gene

10

15

20

25

78:6181, 1981).

have been cloned, differing only in the presence or absence of 8 amino acids in the C-terminal tail. The splice variants exhibit differences in their rate of onset and recovery from agonist-induced internalization but their pharmacology does not appear to differ in ligand binding assays (Koch *et al.*, N.S. Archives of Pharmacology, 357:SS44, 1998). Furthermore, in the MOR-1 knockout mouse, morphine does not induce antinociception, demonstrating that at least in this species morphine-induced analgesia is not mediated through δ - or κ -receptors (Matthes *et al.*, Nature, 383:818, 1996). Similarly morphine does not exhibit positive reinforcing properties or an ability to induce physical dependence in the absence of the MOR-1 gene. The μ_1/μ_2 subdivision was proposed by Pasternak and colleagues to explain their observations, made in radioligand binding studies, that 3 H-labelled- μ , - δ , and - κ ligands displayed

Several related observations suggest the existence of a yet unidentified form of μ -receptor of which analogues of morphine with substitutions at the 6 position (e.g., morphine-6 β -glucuronide, heroin and 6-acetyl morphine) are agonists, but with which unsubstituted morphine itself does not interact (Rossi et al., Neuroscience Letters, 216:1, 1996).

biphasic binding characteristics (Wolozin and Pasternak, Proc. Natl. Acad. Sci. USA,

δ-Receptor Subtypes

The DOR-1 gene is the only δ -receptor gene cloned to date. However, two, overlapping subdivisions of δ -receptor have been proposed (δ_1/δ_2 and δ_{cx}/δ_{nex}) on the basis of *in vivo* and *in vitro* pharmacological experiments. The subdivision of the δ -receptor into δ_1 and δ_2 subtypes was proposed primarily on the basis of *in vivo* pharmacological studies.

The δ_{cx} and δ_{ncx} subdivision of δ -receptors was based on the hypothesis that one type of δ -receptor (δ_{cx}) was complexed with μ -receptors (and perhaps also κ -receptors) whereas no association with an opioid receptor complex has been observed for the other type of δ -receptor (δ_{ncx}) (Rothman *et al.*, *Handbook Exp. Pharmacol.*, A. Herz ed., 104/1:217, 1993).

Data obtained from subsequent radioligand binding studies have been

10

15

20

25

30

-4-

interpreted as demonstrating the existence of further subtypes of the δ_{nex} receptor, *i.e.*, $\delta_{(nex-1)}$ and $\delta_{(nex-2)}$. More recently, it has been suggested that the $\delta_{(nex-1)}$ receptor is in fact identical to the δ_1 -receptor and the δ_{ex} -receptor is identical to the δ_2 -receptor of the previous classification (Xu *et al.*, Peptides, 14:893, 1993).

K-Receptor Subtypes

The situation regarding the proposals for subtypes of the κ -receptor is rather more complex than for the μ - and δ -receptors, perhaps because of the continuing use of non-selective ligands to define the putative sites. The evidence for the need for sub-division of the κ -receptor comes almost entirely from radioligand binding assays.

Studies of 3 H-ethylketocyclazocine 3 H-EKC binding in guinea-pig spinal cord pointed to the existence of a non-homogeneous population of high-affinity binding sites, and led to the first proposal for κ_{1} - and κ_{2} -sites distinguished by their sensitivity to DADLE (Attali *et al.*, Neuropeptides, 3:53, 1982).

Subdivision of the κ_1 -site in guinea-pig brain into κ_{1a} and κ_{1b} , was proposed to resolve the complex displacement of either 3H -EKC or 3H -U-69,593, with dynorphin B and α -neo-endorphin which both preferentially bound to the proposed κ_{1b} sub-subtype (Clark *et al.*, J. Pharmacol. Exp. Ther., 251:461, 1989). The same study proposed the existence of κ_3 subtype, insensitive to U-50,488, that was identified from the binding of 3H -naloxone benzoylhydrazone. The pharmacology of this later " κ_3 -site" is rather different from the κ_3 /MRF site of bovine adrenal medulla, and has been proposed to be the receptor mediating the antinociceptive effect of nalorphine, termed Martin's "N"-receptor (Paul *et al.*, J. Pharmacol. Exp. Ther., 357:1, 1991).

Definitive functional pharmacological evidence supporting the existence of this confusing number of putative subtypes of the κ-receptor is lacking, because of the absence of subtype-specific antagonists.

* * *

All of this uncertainty and confusion about the precise identity of opioid receptors, and the number of different receptors, has hampered efforts to identify more effective, more specific opioid agonists and antagonists, *i.e.*, more

10

15

20

25

30

specific drugs with fewer untoward side effects, within a large family of neuropharmaceuticals including narcotic analgesics.

Thus, there is a need in the art to identify the molecular basis for the diversity of opioid receptor specificities.

There is a further need to identify specific opioid receptors for screening and development of more effective, less addictive, narcotics.

The present invention addresses these and other needs in the art.

SUMMARY OF THE INVENTION

The present invention relates to the discovery that opioid receptors form functional heterodimers. These receptors can be exploited for high-throughput screening of compounds to identify heterodimer opioid receptor modulators (agonists and antagonists). The invention also relates to identification of novel heterodimer receptor ligands and synergistic compositions, which can form the basis for therapeutic strategies to induce analgesia, to combat narcotic addiction, and to reduce hypertension, to name but a few such indications. Moreover, the discovery of certain of these receptor heterodimers has implications for improving immune system function.

The present invention provides an isolated heterodimeric receptor, which receptor comprises an opioid receptor subunit and a second G-protein coupled receptor (GPCR) subunit. Both receptor subunits are expressed endogenously in the same type of cell. Examples of such heterodimeric receptors include opioid-opioid receptor heterodimers, opioid-dopamine receptor heterodimers, and opioid-adrenergic receptor heterodimers. In specific embodiments, one or both of the receptors is a fusion protein comprising an epitope tag (*i.e.*, a peptide segment that is recognized by an antibody).

The invention further provides a recombinant host cell that expresses a functional heterodimeric receptor, which receptor comprises an opioid receptor subunit expressed from an expression vector introduced into the host cell, and a second G-protein coupled receptor (GPCR) subunit expressed from an expression

10

15

20

25

30

vector introduced into the host cell. Preferably, the host cell stably expresses both receptor subunits.

Also provided is a method of screening for a compound that modulates a property of a heterodimeric receptor as described above. This method comprises observing a change in a property of the heterodimeric receptor contacted with a candidate compound. For example, receptor trafficking, *e.g.*, internalization, ligand binding, or ligand specificity can be altered in the presence of a test compound. In particular, heterodimeric opioid receptors will exhibit different affinity for various known and test ligands. In particular, the invention provides for testing the activity of bispecific, bivalent compounds discussed below, or synergistic compositions discussed below.

The invention also advantageously provides a bispecific, bivalent compound comprising an opioid receptor ligand bound to a second G-protein coupled receptor ligand, wherein the second receptor is expressed endogenously in a type of cell that also endogenously expresses the opioid receptor. Both ligands can be either agonists or antagonists of the specific receptor subunits that make up the heterodimer. Alternatively, antagonist/agonist combinations can provide for synergistic binding as well. For example, this is observed with kappa and delta heterodimeric receptor. In a specific embodiment, based on the surprising discovery made concerning covalent linkage of kappa receptor homodimers, both ligands of the compound are kappa receptor ligands.

In another aspect, the invention provides a pharmaceutical composition comprising synergistically effective amounts of a ligand of a delta opioid receptor and a ligand of a second receptor selected from the group consisting of kappa opioid receptor, mu opioid receptor, D2 dopamine receptor, and β_2 -adrenergic receptor, and methods for identifying such compositions.

A pharmaceutical composition comprising synergistically effective amounts of a ligand of a kappa opioid receptor and a ligand of a second receptor selected from the group consisting of delta opioid receptor, D2 dopamine receptor, β_2 -adrenergic receptor, α_2 -adrenergic receptor, CCR5, and CXCR4.

10

15

20

25

30

A pharmaceutical composition comprising synergistically effective amounts of a ligand of a mu opioid receptor and a ligand of a second receptor selected from the group consisting of delta opioid receptor, and α_2 -adrenergic receptor.

The compounds and compositions of the invention have heterodimeric opioid receptor modulating properties and thus therapeutic potential. These compounds address the need that exists for more precise identification of receptors for treating a disease or disorder of the central nervous system, cardiovascular system, or immune system. In particular, the disease or disorder may be chronic pain, drug abuse, schizophrenia, depression, or a dysfunction of the central reward pathway. The discovery of a kappa-CCR5 heterodimer provides an avenue for inhibiting HIV infection. The presence of heterodimeric receptors comprising an opioid receptor subunit and a catecholamine receptor subunit identifies a strategy for developing more effective treatments of cardiovascular disease, and in particular hypertension.

Administering a therapeutically effective dose of a compound or a pharmaceutical composition of the invention is expected to have a therapeutic effect on such diseases and disorders.

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1A, B, C, D, and E. Characteristics of kappa opioid receptor homodimers. Immunoblotting of lysates from cells expressing FLAG-kappa receptors or FLAG-delta receptors (A). Myc-tagged kappa receptors can be co-precipitated only from cells expressing both myc and FLAG-tagged receptors (B) under a variety of extraction conditions and not from a mixture of cells individually expressing these receptors (C). Expression of myc- or FLAG-tagged receptors was confirmed by immunoblotting (right panel). Treatment of cells expressing kappa receptors with 1 mM DTT for 30 min followed by 5 mM IAM or NEM results in monomerization (D) whereas treatment with 100 nM agonists for 60 min does not (E).

Figures 2A, B, C, and D. Characterization of kappa-delta heterodimers. Kappa-delta heterodimers can be immunoprecipitated only from myc-kappa and FLAG-delta expressing cells and not from myc-kappa and FLAG-mu

10

15

20

25

30

expressing cells (A). Kappa-delta heterodimers can be immunoprecipitated under a variety of extraction conditions and not from mixture of cells individually expressing these receptors (B). Expression of myc- or FLAG-tagged receptors in each cell line was confirmed by immunoblotting (right panel). Treatment with 5% β -ME for 5 min results in the destabilization of dimers (C). Internalization of receptors in response to 1 μ M etorphine for 60 minutes (D). Significant differences from untreated controls are indicated, *p<0.05; ***p<0.005 (n=3).

Figures 3A, B, C, D, E, and F. Ligand binding and functional properties. Competition of ³H-diprenorphine binding by U-69593 (square), nor-BNI (triangle), diprenorphine (star), DPDPE (circle) and TIPPY (diamond) in membranes from cells expressing kappa (A) delta (B) or kappa and delta (C) receptors.

Displacement of ³H-diprenorphine by U-69593 in the presence of 10 μM DPDPE (triangle) or DPDPE in the presence of 10 μM

U-69593 (diamond) (D). Decrease in intracellular cAMP (E) or increase in phospho-MAP kinase (F) by U-69593 (square), DPDPE (circle) or U-69593+DPDPE (triangle). Activation of homodimers in these cells could account for the effect seen with individual agonists. Error bars represent SEM (n=3-4).

Figure 4. Mu and delta receptors interact with each other to form heterodimers. Immunoprecipitation of cell lysates from HEK-293 cells individually expressing either FLAG-mu or *myc*-delta receptors, mixed cells individually expressing FLAG-mu or *myc*-delta receptors, or cells co-expressing FLAG-mu and *myc*-delta was carried out using anti-*myc* antibodies. Western blotting of these immunocomplexes using anti-FLAG antibodies shows an approximately150 kDa protein representing mu-delta heterodimers only in cells co-expressing both FLAG-mu and *myc*-delta receptors. Pretreatment of cells with 1 mM DTT results in the destabilization of dimers.

Figure 5. Ligand binding properties in membranes of HEK-cells expressing mu-delta heterodimers were evaluated by measuring the displacement of ³H-diprenorphine binding by CTOP in cells expressing mu-delta heterodimers.

Membranes were incubated with ³H-diprenorphine in the absence or in the presence

10

15

20

25

of various doses of CTOP alone or CTOP in the presence of 1 nM TIPPΨ as described above. We find a single binding site for CTOP in the absence of TIPPΨ and a second super high affinity binding site in the presence of 1 nM TIPPΨ. Data from a representative of experiment (out of 3-7) is shown; error bars represent SEM; variation between experiments was less than 10%.

Figures 6A, B, C, and D. Ligand binding properties of whole cells co-expressing mu and delta receptors. Binding of a radiolabeled mu-agonist, ³H-DAMGO, examined in the absence or presence of a delta-antagonist, 10 nM TIPPΨ, in cells exogenously expressing mu and delta receptors (A) or in SK-N-SH neuroblastoma cells that endogenously express mu and delta receptors (B). In the presence of 10 nM TIPPΨ, a significant (about 50%) increase in the number of ³H-DAMGO binding sites is observed. Binding of a radiolabeled mu-agonist, ³H-DAMGO, examined in the absence or presence of two subtype delta selective agonists, deltorphin II (C) and DPDPE (D). The increase in the population of receptors is seen only in the presence of deltorphin II and not in the presence of DPDPE. Data in panels A-D represent mean±SEM from 3-5 independent experiments.

Figures 7A, B, and C. Delta opioid receptors interact with β_2 -adrenergic receptors (A), with D2 dopamine receptors (B), or with α_2 A-adrenergic receptors (C) to form heterodimers. A. Western blotting (left and right panels) and immunoprecipitation (middle panel) of cell lysates from mixed HEK-293 cells individually expressing either FLAG- β_2 -adrenergic receptors or myc-delta receptors, or cells co-expressing FLAG- β_2 -adrenergic receptors and myc-delta receptors was carried out using either anti-FLAG or anti-myc antibodies. Western blotting of anti-myc-precipitated immunocomplexes with anti-FLAG antibodies shows delta- β_2 heterodimers only in cells co-expressing both FLAG- β_2 and myc-delta receptors. B. Western blotting (left and right panels) and immunoprecipitation (middle panel) of cell lysates from mixed HEK-293 cells individually expressing either FLAG-D2 dopamine receptors or myc-delta receptors, or cells co-expressing FLAG-D2

10

15

20

25

30

dopamine receptors and myc-delta receptors was carried out using either anti-FLAG or anti-myc antibodies. Western blotting of anti-myc-precipitated immunocomplexes with anti-FLAG antibodies shows delta-D2 heterodimers only in cells co-expressing both FLAG-D2 and myc-delta receptors. C. Western blotting following the immunoprecipitation of cell lysates from mixed HEK-293 cells individually expressing either HA- α_2 A-adrenergic receptors or myc-delta receptors (left panel), or from cells co-expressing HA- α_2 A-adrenergic receptors and myc-delta receptors (right panel). Western blotting of anti-HA-precipitated immunocomplexes was performed using anti-myc antibodies and shows delta- α_2 A heterodimers only in cells co-expressing both HA- α_2 A-adrenergic receptors and myc-delta receptors.

DETAILED DESCRIPTION OF THE INVENTION

For the first time, a heterodimeric receptor having at least one opioid receptor moiety or subunit has been characterized. The invetnion is based, in part, on biochemical and pharmacological evidence for the heterodimerization of fully functional opioid receptors (kappa and delta; and mu and delta), and fully functional opioid receptors/catecholamine receptors (delta and β_2 -adrenergic receptor [β_2 -AR]; kappa and D2; and delta and D2). Discovery of these receptors opens the door to the preparation of bispecific compounds and synergistic compositions of individual receptor ligands, based on the discovery of modified ligand specificity of these heterodimeric receptors and synergistic binding of receptor subunit-specific ligands. The present invention has uncovered the mechanism for synergy of different classes of drugs, which, though observed empirically, has not been satisfactorily explained until now. Thus, the present invention advantageously provides for the development both of more potent therapeutic agents and of a better understanding of the molecular basis of opioid receptor activity.

As used herein, the term "receptor subunit" refers to a single receptor protein that has been discovered to associate with another, different G-protein coupled receptor (GPCR), which is either a different opioid receptor protein or a non-opioid receptor, such as dopamine receptor, adrenergic receptor, or chemokine receptor. For

10

15

20

25

example, in a kappa-delta opioid heterodimeric receptor, the kappa opioid receptor protein and the delta opioid receptor protein that form the heterodimer are each subunits.

The term "opioid receptor" refers to a "classical" type of opioid receptor: $mu(\mu)$, $delta(\delta)$, and $kappa(\kappa)$. A "heterodimeric opioid receptor" or "opioid receptor heterodimer" refers to a G-protein coupled receptor of the invention, comprising an opioid receptor subunit and another GPCR receptor subunit, which maybe another opioid receptor subunit or a non-opioid receptor subunit.

Receptors are "expressed endogenously" in a type of cell that endogenously does or does not express the opioid receptor or are both expressed endogenously in the same type of cell (*i.e.*, expressed together with a different opioid receptor(s) or a different GPCR [*e.g.*, catecholamine receptor]). While such co-expression may have been recognized in the scientific literature, the discovery of the present invention represents the first indication that the receptor subunits form a functional heterodimer.

Non-limiting examples of endogenous co-expression of opioid receptors with other GPCRs include kappa and the D2 dopamine receptor; delta and D2; mu and delta; mu and α_2 -adrenergic receptor; delta and α_2 -adrenergic receptor; delta and β_2 -adrenergic receptor; kappa and delta; kappa and β_2 -adrenergic receptor; kappa and ORL₁ (nociceptin) receptor; delta and ORL₁ (nociceptin) receptor; and kappa and the CCR5 and CXCR4 chemokine receptors.

The term "functional" refers to the ability to accomplish at least one of the following: bind ligand; bind a "non-selective" ligand selectively; bind a bifunctional, bi-specific ligand; activate G-protein mediated signal transduction upon binding a ligand; induce internalization of the receptor; or any combination thereof. Such ability or abilities can be demonstrated in a cell based or cell-free system, or *in vivo*, including a transgenic animal system.

A bi-specific, bivalent compound of the invention comprises ligands for each of the individual heterodimeric receptor subunits bound to each other.

10

15

20

25

30

A "synergistically effective amount" of a ligand is an amount able to induce heterodimeric opioid receptor activity at a much lower concentration, particularly a subthreshold concentration, than is required for homodimeric (classical) receptor activity by virtue of the presence of a ligand of a different receptor, which is a subunit of the heterodimeric receptor. So, if ligand A is used, ligand B can be effective at a lower concentration than in the absence of A, and similarly when B is used, A can be effective at a lower concentration than in the absence of B. Although not intending to be bound by any particular explanation or theory, binding of one ligand to the heterodimeric receptor may alter conformation or otherwise facilitate recognition and binding of the other ligand. Thus, both ligands become surprisingly and unexpectedly effective at concentrations generally regarded as subthreshold (or subtherapeutic), even though the ligands themselves do not induce the same effects. Thus, any increase in efficacy represents a synergy, since there is no basis for expecting additive effects, e.g., with an opioid and a catecholamine.

Furthermore, the term "synergistic" can be used to describe an activity that two ligands have together that neither one has alone or in the absence of the other.

The term "signal transduction pathway" as used in this invention refers to the intracellular mechanism by which an opioid or other heterodimeric receptor ligand induces an alteration of cell function or activity. A key feature of the signal transduction pathway dissected herein is activation of G-protein coupled signaling, such as cAMP production, resulting in further signal transduction, including MAPK phosphorylation.

The term "element of a signal transduction pathway" refers to a signal transduction factor that is activated as a result of ligand binding to a heterodimeric receptor. In accordance with the present invention, elements of the signal transduction pathway include G-proteins, cAMP, MAPK, etc. A "signal" in such a pathway can refer to activation of an element (or factor) in the pathway. For example, activation of MAPK is a signal of agonist-induced kappa-delta heterodimer signal transduction pathway. Generally, activation of one of these factors involves phosphorylation of one or more proteins.

10

15

20

25

30

The term "inhibitor" is used herein to refer to a compound that can block or reduce the level of signaling in a signal transduction pathway described herein. Such an inhibitor may block the pathway at any point, from blocking binding of ligand to receptor to blocking function of intracellular signals. Preferably, an inhibitor discovered in accordance with the invention is specific for signals of heterodimeric opioid receptor-induced signalling.

"Screening" refers to a process of testing one or a plurality of compounds (including a library of compounds) for some activity. A "screen" is a test system for screening. Screens can be primary, *i.e.*, an initial selection process, or secondary, *e.g.*, to confirm that a compound selected in a primary screen (such as a binding assay) functions as desired (such as in a signal transduction assay). Screening permits the more rapid elimination of irrelevant or non-functional compounds, and thus selection of more relevant compounds for further testing and development. "High throughput screening" involves the automation and robotization of screening systems to rapidly screen a large number of compounds for a desired activity. Screens are discussed in greater detail below.

As used herein, the term "isolated" means that the referenced material is removed from its native environment, e.g., a cell. Thus, an isolated biological material can be free of some or all cellular components, i.e., components of the cells in which the native material occurs naturally (e.g., cytoplasmic or membrane component). A material shall be deemed isolated if it is present in a cell extract or if it is present in a heterologous cell or cell extract. In the case of nucleic acid molecules, an isolated nucleic acid fragment includes a PCR product, an isolated mRNA, a cDNA, or a restriction fragment. In another embodiment, an isolated nucleic acid fragment is preferably excised from the chromosome in which it may be found, and more preferably is no longer joined or proximal to non-coding regions (but may be joined to its native regulatory regions or portions thereof), or to other genes, located upstream or downstream of the gene contained by the isolated nucleic acid molecule when found in the chromosome. In yet another embodiment, the isolated nucleic acid fragment lacks one or more introns. Isolated nucleic acid molecules

10

15

20

25

30

include sequences inserted into plasmids, cosmids, artificial chromosomes, and the like, *i.e.*, when it forms part of a chimeric recombinant nucleic acid construct. Thus, in a specific embodiment, a recombinant nucleic acid is an isolated nucleic acid fragment. An isolated protein may be associated with other proteins or nucleic acids, or both, with which it associates in the cell, or with cellular membranes if it is a membrane-associated protein. An isolated organelle, cell, or tissue is removed from the anatomical site in which it is found in an organism. An isolated material may be, but need not be, purified.

The term "purified" as used herein refers to material that has been isolated under conditions that reduce or eliminate the presence of unrelated materials, *i.e.*, contaminants, including native materials from which the material is obtained. For example, a purified protein is preferably substantially free of other proteins or nucleic acids with which it is associated in a cell; a purified nucleic acid molecule is preferably substantially free of proteins or other unrelated nucleic acid molecules with which it can be found within a cell. As used herein, the term "substantially free" is used operationally, in the context of analytical testing of the material. Preferably, purified material substantially free of contaminants is at least 50% pure; more preferably, at least 90% pure, and more preferably still at least 99% pure. Purity can be evaluated by chromatography, gel electrophoresis, immunoassay, composition analysis, biological assay, and other methods known in the art.

Methods for purification are well-known in the art. For example, nucleic acids can be purified by precipitation, chromatography (including without limitation preparative solid phase chromatography, oligonucleotide hybridization, and triple helix chromatography), ultracentrifugation, and other means. Polypeptides and proteins can be purified by various methods including, without limitation, preparative disc-gel electrophoresis and isoelectric focusing; affinity, HPLC, reversed-phase HPLC, gel filtration or size exclusion, ion exchange and partition chromatography; precipitation and salting-out chromatography; extraction; and countercurrent distribution. For some purposes, it is preferable to produce the polypeptide in a recombinant system in which the protein contains an additional sequence tag that

10

15

20

25

30

facilitates purification, such as, but not limited to, a polyhistidine sequence, or a sequence that specifically binds to an antibody, such as FLAG, *myc*, HA, and GST. The polypeptide can then be purified from a crude lysate of the host cell by chromatography on an appropriate solid-phase matrix. Alternatively, antibodies produced against the protein or against peptides derived therefrom can be used as purification reagents. Cells can be purified by various techniques, including centrifugation, matrix separation (*e.g.*, nylon wool separation), panning and other immunoselection techniques, depletion (*e.g.*, complement depletion of contaminating cells), and cell sorting (*e.g.*, fluorescence activated cell sorting (FACS)). Other purification methods are possible and contemplated herein. A purified material may contain less than about 50%, preferably less than about 75%, and most preferably less than about 90%, of the cellular components, media, proteins, or other nondesirable components or impurities (as context requires), with which it was originally associated. The term "substantially pure" indicates the highest degree of purity which can be achieved using conventional purification techniques known in the art.

In a specific embodiment, the term "about" or "approximately" means within 20%, preferably within 10%, and more preferably within 5% of a given value or range. Alternatively, logarithmic terms used in biology, the term "about" can mean within an order of magnitude of a given value, and preferably within one-half an order of magnitude of the value.

In accordance with the present invention there may be employed conventional molecular biology, microbiology, and recombinant DNA techniques within the skill of the art. Such techniques are explained fully in the literature. See, e.g., Sambrook, Fritsch & Maniatis, Molecular Cloning: A Laboratory Manual, Second Edition, 1989, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York (herein "Sambrook et al., 1989"); DNA Cloning: A Practical Approach, Volumes I and II, D.N. Glover ed., 1985; Oligonucleotide Synthesis, M.J. Gait ed., 1984; Nucleic Acid Hybridization, B.D. Hames and S.J. Higgins eds., 1985; Transcription And Translation, B.D. Hames and S.J. Higgins, eds., 1984; Animal Cell Culture, R.I. Freshney ed., 1986; Immobilized Cells And Enzymes, IRL Press, 1986;

B. Perbal, A Practical Guide To Molecular Cloning, 1984; Current Protocols in Molecular Biology, F.M. Ausubel et al. eds., John Wiley and Sons, Inc., 1994.

Molecular Biology - Definitions

5

A "nucleic acid molecule" refers to the phosphate ester polymeric form of ribonucleosides (adenosine, guanosine, uridine or cytidine; "RNA molecules") or deoxyribonucleosides (deoxyadenosine, deoxyguanosine, deoxythymidine, or deoxycytidine; "DNA molecules"). A "recombinant DNA molecule" is a DNA molecule that has undergone a molecular biological manipulation.

10

The term "host cell" means any cell of any organism that is selected, modified, transformed, grown, or used or manipulated in any way, for the production of a substance by the cell, for example the expression by the cell of a gene, a DNA or RNA sequence, a protein or an enzyme. Host cells can further be used for screening or other assays, as described *infra*.

15

A "coding sequence" or a sequence "encoding" an expression product, such as a RNA, polypeptide, protein, or enzyme, is a nucleotide sequence that, when expressed, results in the production of that RNA, polypeptide, protein, or enzyme, *i.e.*, the nucleotide sequence encodes an amino acid sequence for that polypeptide, protein or enzyme. A coding sequence for a protein may include a start codon (usually ATG) and a stop codon.

20

The coding sequences for individual receptors may be flanked by natural regulatory (expression control) sequences, or may be associated with heterologous sequences, including promoters, internal ribosome entry sites (IRES) and other ribosome binding site sequences, enhancers, response elements, suppressors, signal sequences, polyadenylation sequences, intros, 5'- and 3'-non-coding regions, and the like.

25

The term "gene", also called a "structural gene" means a DNA sequence that codes for or corresponds to a particular sequence of amino acids which comprise all or part of one or more proteins, and may or may not include regulatory

10

15

20

25

30

-17-

DNA sequences, such as promoter sequences, which determine for example the conditions under which the gene is expressed.

A "promoter sequence" is a DNA regulatory region capable of binding RNA polymerase in a cell and initiating transcription of a downstream (3' direction) coding sequence. For purposes of defining the present invention, the promoter sequence is bounded at its 3' terminus by the transcription initiation site and extends upstream (5' direction) to include the minimum number of bases or elements necessary to initiate transcription at levels detectable above background. Within the promoter sequence will be found a transcription initiation site (conveniently defined for example, by mapping with nuclease S1), as well as protein binding domains (consensus sequences) responsible for the binding of RNA polymerase.

A coding sequence is "under the control" or "operatively associated with" of transcriptional and translational control sequences in a cell when RNA polymerase transcribes the coding sequence into mRNA, which is then trans-RNA spliced (if it contains introns) and translated into the protein encoded by the coding sequence.

The terms "express" and "expression" mean allowing or causing the information in a gene or DNA sequence to become manifest, for example producing a protein by activating the cellular functions involved in transcription and translation of a corresponding gene or DNA sequence. A DNA sequence is expressed in or by a cell to form an "expression product" such as a protein. The expression product itself, e.g., the resulting protein, may also be said to be "expressed" by the cell. An expression product can be characterized as intracellular, extracellular or secreted. The term "intracellular" means something that is inside a cell. The term "extracellular" means something that is outside a cell. A substance is "secreted" by a cell if it appears in significant measure outside the cell, from somewhere on or inside the cell.

The term "transfection" means the introduction of a foreign nucleic acid into a cell. The term "transformation" means the introduction of a "foreign" (i.e., extrinsic or extracellular) gene, DNA or RNA sequence to a host cell, so that the host cell will express the introduced gene or sequence to produce a desired substance,

10

15

20

25

30

typically a protein or enzyme coded by the introduced gene or sequence. The introduced gene or sequence may also be called a "cloned" or "foreign" gene or sequence, may include regulatory or control sequences, such as start, stop, promoter, signal, secretion, or other sequences used by a cell's genetic machinery. The gene or sequence may include nonfunctional sequences or sequences with no known function. A host cell that receives and expresses introduced DNA or RNA has been "transformed" and is a "transformant" or a "clone." The DNA or RNA introduced to a host cell can come from any source, including cells of the same genus or species as the host cell, or cells of a different genus or species.

The terms "vector", "cloning vector" and "expression vector" mean the vehicle by which a DNA or RNA sequence (e.g., a foreign gene) can be introduced into a host cell, so as to transform the host and promote expression (e.g., transcription and translation) of the introduced sequence. Vectors include plasmids, phages, viruses, etc.; they are discussed in greater detail below.

Vectors typically comprise the DNA of a transmissible agent, into which foreign DNA is inserted. A common way to insert one segment of DNA into another segment of DNA involves the use of enzymes called restriction enzymes that cleave DNA at specific sites (specific groups of nucleotides) called restriction sites. A "cassette" refers to a DNA coding sequence or segment of DNA that codes for an expression product that can be inserted into a vector at defined restriction sites. The cassette restriction sites are designed to ensure insertion of the cassette in the proper reading frame. Generally, foreign DNA is inserted at one or more restriction sites of the vector DNA, and then is carried by the vector into a host cell along with the transmissible vector DNA. A segment or sequence of DNA having inserted or added DNA, such as an expression vector, can also be called a "DNA construct." A common type of vector is a "plasmid", which generally is a self-contained molecule of double-stranded DNA, usually of bacterial origin, that can readily accept additional (foreign) DNA and which can readily introduced into a suitable host cell. A plasmid vector often contains coding DNA and promoter DNA and has one or more restriction sites suitable for inserting foreign DNA. Coding DNA is a DNA sequence that

10

15

20

25

WO 00/77238 PCT/US00/16559

-19-

encodes a particular amino acid sequence for a particular protein or enzyme. Promoter DNA is a DNA sequence which initiates, regulates, or otherwise mediates or controls the expression of the coding DNA. Promoter DNA and coding DNA may be from the same gene or from different genes, and may be from the same or different organisms. A large number of vectors, including plasmid and fungal vectors, have been described for replication and/or expression in a variety of eukaryotic and prokaryotic hosts. Non-limiting examples include pKK plasmids (Clonetech), pUC plasmids, pET plasmids (Novagen, Inc., Madison, WI), pRSET plasmids (Invitrogen, San Diego, CA), pCDNA3 plasmids (Invitrogen), pREP plasmids (Invitrogen), or pMAL plasmids (New England Biolabs, Beverly, MA), and many appropriate host cells, using methods disclosed or cited herein or otherwise known to those skilled in the relevant art. Recombinant cloning vectors will often include one or more replication systems for cloning or expression, one or more markers for selection in the host, e.g., antibiotic resistance, and one or more expression cassettes.

The term "expression system" means a host cell and compatible vector under suitable conditions, *e.g.*, for the expression of a protein coded for by foreign DNA carried by the vector and introduced to the host cell. Expression systems include mammalian host cells and vectors. Suitable cells include PC12 cells, CHO cells, HeLa cells, 293 and 293T (human kidney cells), COS cells, mouse primary myoblasts, and NIH 3T3 cells.

The term "heterologous" refers to a combination of elements not naturally occurring. For example, heterologous DNA refers to DNA not naturally located in the cell, or in a chromosomal site of the cell. Preferably, the heterologous DNA includes a gene foreign to the cell. A heterologous expression regulatory element is a such an element operatively associated with a different gene than the one it is operatively associated with in nature. In the context of the present invention, the opioid receptor gene and a second receptor gene are heterologous to the vector or vectors in which they are inserted for cloning or expression, and they are heterologous to a host cell containing such a vector, in which it is expressed, e.g., a CHO cell.

10

15

20

25

30

The terms "mutant" and "mutation" mean any detectable change in genetic material, e.g., DNA, or any process, mechanism, or result of such a change. This includes gene mutations, in which the structure (e.g., DNA sequence) of a gene is altered, any gene or DNA arising from any mutation process, and any expression product (e.g., protein or enzyme) expressed by a modified gene or DNA sequence. The term "variant" may also be used to indicate a modified or altered gene, DNA sequence, enzyme, cell, etc., i.e., any kind of mutant.

"Sequence-conservative variants" of a polynucleotide sequence are those in which a change of one or more nucleotides in a given codon position results in no alteration in the amino acid encoded at that position. Such variants can be used in expression of receptor subunits, *e.g.*, where altered codon usage or insertion of a restriction site is desired.

"Function-conservative variants" are those in which a given amino acid residue in a protein or enzyme has been changed without altering the overall conformation and function of the polypeptide, including, but not limited to, replacement of an amino acid with one having similar properties (such as, for example, polarity, hydrogen bonding potential, acidic, basic, hydrophobic, aromatic, and the like). Amino acids with similar properties are well known in the art. For example, arginine, histidine and lysine are hydrophilic-basic amino acids and may be interchangeable. Similarly, isoleucine, a hydrophobic amino acid, may be replaced with leucine, methionine or valine. Such changes are expected to have little or no effect on the apparent molecular weight or isoelectric point of the protein or polypeptide. Amino acids other than those indicated as conserved may differ in a protein or enzyme so that the percent protein or amino acid sequence similarity between any two proteins of similar function may vary and may be, for example, from 70% to 99% as determined according to an alignment scheme such as by the Cluster Method, wherein similarity is based on the MEGALIGN algorithm. A "function-conservative variant" also includes a polypeptide or enzyme which has at least 60 % amino acid identity as determined by BLAST or FASTA algorithms, preferably at least 75%, most preferably at least 85%, and even more preferably at

10

15

20

25

30

least 90%, and which has the same or substantially similar properties or functions as the native or parent protein or enzyme to which it is compared. Any of these algorithms can be used with defaults provided by the manufacturer, supplier, or provider.

Similarly, in a particular embodiment, two amino acid sequences are "substantially homologous" or "substantially similar" when greater than 80% of the amino acids are identical, or greater than about 90% are similar (functionally identical). Preferably, the similar or homologous sequences are identified by alignment using, for example, the GCG (Genetics Computer Group, Program Manual for the GCG Package, *Version 7*, Madison, Wisconsin) pileup program, or any of the programs described above (BLAST, FASTA, etc.)

A nucleic acid molecule is "hybridizable" to another nucleic acid molecule, such as a cDNA, genomic DNA, or RNA, when a single stranded form of the nucleic acid molecule can anneal to the other nucleic acid molecule under the appropriate conditions of temperature and solution ionic strength (see Sambrook et al., 1989, supra). The conditions of temperature and ionic strength determine the "stringency" of the hybridization. For preliminary screening for homologous nucleic acids, low stringency hybridization conditions, corresponding to a T_m (melting temperature) of 55°C, can be used, e.g., 5x SSC, 0.1% SDS, 0.25% milk, and no formamide; or 30% formamide, 5x SSC, 0.5% SDS). Equivalent stringency conditions can be achieved by increasing melting temperature and lowering salt concentration, or vica versa. Moderate stringency hybridization conditions correspond to a higher T_m, e.g., 40% formamide, with 5x or 6x SSC. High stringency hybridization conditions correspond to the highest T_m, e.g., 50% formamide, 5x or 6x SSC. SSC is a 0.15M NaC1, 0.015M Na-citrate. Hybridization requires that the two nucleic acids contain complementary sequences, although depending on the stringency of the hybridization, mismatches between bases are possible. The appropriate stringency for hybridizing nucleic acids depends on the length of the nucleic acids and the degree of complementation, variables well known in the art. The greater the degree of similarity or homology between two nucleotide sequences,

10

15

20

25

30

WO 00/77238 PCT/US00/16559

-22-

the greater the value of T_m for hybrids of nucleic acids having those sequences. The relative stability (corresponding to higher T_m) of nucleic acid hybridizations decreases in the following order: RNA:RNA, DNA:RNA, DNA:DNA. For hybrids of greater than 100 nucleotides in length, equations for calculating T_m have been derived (see Sambrook et al., 1989, supra, 9.50-9.51). For hybridization with shorter nucleic acids, i.e., oligonucleotides, the position of mismatches becomes more important, and the length of the oligonucleotide determines its specificity (see Sambrook et al., 1989, supra, 11.7-11.8). A minimum length for a hybridizable nucleic acid is at least about 10 nucleotides; preferably at least about 15 nucleotides; and more preferably the length is at least about 20 nucleotides.

In a specific embodiment, the term "standard hybridization conditions" refers to a T_m of 55°C, and utilizes conditions as set forth above. In a preferred embodiment, the T_m is 60°C; in a more preferred embodiment, the T_m is 65°C. In a specific embodiment, "high stringency" refers to hybridization and/or washing conditions at 68°C in 0.2XSSC, at 42°C in 50% formamide, 4XSSC, or under conditions that afford levels of hybridization equivalent to those observed under either of these two conditions.

As used herein, the term "oligonucleotide" refers to a nucleic acid, generally of at least 10, preferably at least 15, and more preferably at least 20 nucleotides, preferably no more than 100 nucleotides, that is hybridizable to a genomic DNA molecule, a cDNA molecule, or an mRNA molecule encoding a gene, mRNA, cDNA, or other nucleic acid of interest. Oligonucleotides can be labeled, *e.g.*, with ³²P-nucleotides or nucleotides to which a label, such as biotin, has been covalently conjugated. In one embodiment, a labeled oligonucleotide can be used as a probe to detect the presence of a nucleic acid. In another embodiment, oligonucleotides (one or both of which may be labeled) can be used as PCR primers, *e.g.*, for cloning full length or a fragment of a receptor. Generally, oligonucleotides are prepared synthetically, preferably on a nucleic acid synthesizer. Accordingly, oligonucleotides can be prepared with non-naturally occurring phosphoester analog bonds, such as thioester bonds, etc.

10

-23-

Vectors

A wide variety of host/expression vector combinations may be employed in expressing DNA sequences encoding the heterodimeric opioid receptor subunits. Useful expression vectors, for example, may consist of segments of chromosomal, non-chromosomal and synthetic DNA sequences. Suitable vectors include SV40 and derivatives of SV40 and bacterial plasmids, *e.g.*, *E. coli* plasmids col El, pCR1, pBR322, pMal-C2, pET, pGEX (Smith *et al.*, Gene, 67:31-40, 1988), pMB9 and their derivatives, plasmids such as RP4; phage DNAs, *e.g.*, the numerous derivatives of phage l, *e.g.*, NM989, and other phage DNA, *e.g.*, M13 and filamentous single stranded phage DNA; yeast plasmids such as the 2m plasmid or derivatives thereof; vectors useful in eukaryotic cells, such as vectors useful in insect or mammalian cells; vectors derived from combinations of plasmids and phage DNAs, such as plasmids that have been modified to employ phage DNA or other expression control sequences; and the like.

15 A vector can be introduced in vivo in a non-viral vector, e.g., by lipofection, with other transfection facilitating agents (peptides, polymers, etc.), or as naked DNA. Synthetic cationic lipids can be used to prepare liposomes for in vivo transfection, with targeting in some instances (Felgner, et. al., Proc. Natl. Acad. Sci. USA, 84:7413-7417, 1987; Felgner and Ringold, Science, 337:387-388, 1989; 20 Mackey, et al., Proc. Natl. Acad. Sci. USA, 85:8027-8031, 1988; Ulmer et al., Science, 259:1745-1748, 1993). Useful lipid compounds and compositions for transfer of nucleic acids are described in PCT Publication Nos. WO 95/18863 and WO 96/17823, and in U.S. Patent No. 5,459,127. Other molecules are also useful for facilitating transfection of a nucleic acid in vivo, such as a cationic oligopeptide (e.g., 25 PCT Publication No.WO 95/21931), peptides derived from DNA binding proteins (e.g., PCT Publication No. WO 96/25508), or a cationic polymer (e.g., PCT Publication No. WO 95/21931). Recently, a relatively low voltage, high efficiency in vivo DNA transfer technique, termed electrotransfer, has been described (Mir et al., C.P. Acad. Sci., 321:893, 1998; PCT Publications Nos.WO 99/01157; WO 99/01158; 30 WO 99/01175). DNA vectors for gene therapy can be introduced into the desired host

10

15

20

25

30

cells by methods known in the art, e.g., electroporation, microinjection, cell fusion, DEAE dextran, calcium phosphate precipitation, use of a gene gun (ballistic transfection), or use of a DNA vector transporter (see, e.g., Wu et al., J. Biol. Chem., 267:963-967, 1992; Wu and Wu, J. Biol. Chem., 263:14621-14624, 1988; Canadian Patent Application No. 2,012,311; Williams et al., Proc. Natl. Acad. Sci. USA, 88:2726-2730, 1991). Receptor-mediated DNA delivery approaches can also be used (Curiel et al., Hum. Gene Ther. 3:147-154, 1992; Wu and Wu, J. Biol. Chem. 262:4429-4432, 1987). US Patent Nos. 5,580,859 and 5,589,466 disclose delivery of exogenous DNA sequences, free of transfection facilitating agents, in a mammal.

Also useful are viral vectors, such as lentiviruses, retroviruses, herpes viruses, adenoviruses, adeno-associated viruses, vaccinia virus, baculovirus, and other recombinant viruses with desirable cellular tropism. Thus, a gene encoding a functional protein or polypeptide (as set forth above) can be introduced *in vivo*, *ex vivo*, or *in vitro* using a viral vector or through direct introduction of DNA. Expression in targeted tissues can be effected by targeting the transgenic vector to specific cells, such as with a viral vector or a receptor ligand, or by using a tissue-specific promoter, or both. Targeted gene delivery is described in PCT Publication No. WO 95/28494.

Viral vectors commonly used for *in vivo* or *ex vivo* targeting and therapy procedures are DNA-based vectors and retroviral vectors. Methods for constructing and using viral vectors are known in the art (*see, e.g.*, Miller and Rosman, BioTechniques, 7:980-990, 1992). Preferably, the viral vectors are replication defective, that is, they are unable to replicate autonomously in the target cell. In general, the genome of the replication defective viral vectors which are used within the scope of the present invention lack at least one region which is necessary for the replication of the virus in the infected cell. These regions can either be eliminated (in whole or in part), be rendered non-functional by any technique known to a person skilled in the art. These techniques include the total removal, substitution (by other sequences, in particular by the inserted nucleic acid), partial deletion or addition of one or more bases to an essential (for replication) region. Such techniques

10

15

20

25

may be performed *in vitro* (on the isolated DNA) or *in situ*, using the techniques of genetic manipulation or by treatment with mutagenic agents. Preferably, the replication defective virus retains the sequences of its genome which are necessary for encapsidating the viral particles.

DNA viral vectors include an attenuated or defective DNA virus, such as but not limited to herpes simplex virus (HSV), papillomavirus, Epstein Barr virus (EBV), adenovirus, adeno-associated virus (AAV), and the like. Defective viruses, which entirely or almost entirely lack viral genes, are preferred. Defective virus is not infective after introduction into a cell. Use of defective viral vectors allows for administration to cells in a specific, localized area, without concern that the vector can infect other cells. Thus, a specific tissue can be specifically targeted. Examples of particular vectors include, but are not limited to, a defective herpes virus 1 (HSV1) vector (Kaplitt et al., Molec. Cell. Neurosci., 2:320-330, 1991), defective herpes virus vector lacking a glyco-protein L gene (Patent Publication RD 371005 A), or other defective herpes virus vectors (PCT Publication Nos. WO 94/21807 and WO 92/05263); an attenuated adenovirus vector, such as the vector described by Stratford-Perricaudet et al. (J. Clin. Invest., 90:626-630, 1992; see also La Salle et al., Science, 259:988-990, 1993); and a defective adeno-associated virus vector (Samulski et al., J. Virol., 61:3096-3101, 1987; Samulski et al., J. Virol., 63:3822-3828, 1989; Lebkowski et al., Mol. Cell. Biol., 8:3988-3996, 1988).

Various companies produce viral vectors commercially, including but by no means limited to Avigen, Inc. (Alameda, CA; AAV vectors), Cell Genesys (Foster City, CA; retroviral, adenoviral, AAV vectors, and lentiviral vectors), Clontech (retroviral and baculoviral vectors), Genovo, Inc. (Sharon Hill, PA; adenoviral and AAV vectors), Genvec (adenoviral vectors), IntroGene (Leiden, Netherlands; adenoviral vectors), Molecular Medicine (retroviral, adenoviral, AAV, and herpes viral vectors), Norgen (adenoviral vectors), Oxford BioMedica (Oxford, United Kingdom; lentiviral vectors), and Transgene (Strasbourg, France; adenoviral, vaccinia, retroviral, and lentiviral vectors).

10

15

20

25

30

A preferred expression host is a eukaryotic cell (e.g., yeast, insect, or mammalian cell). More preferred is a mammalian cell, e.g., human, rat, monkey, dog, or hamster cell. In specific embodiments, *infra*, opioid receptor heterodimers are expressed in a human embryonic kidney (HEK) 293 line or a COS line. Other choices include a cell line (e.g., SK-N-MC), or a chinese hamster ovary cell line (e.g., CHO-K1).

Expression of one or both receptor subunits may be controlled by any promoter/enhancer element known in the art, but these regulatory elements must be functional in the host selected for expression. Promoters which may be used for gene expression include, but are not limited to, cytomegalovirus (CMV) promoter (U.S. Patent Nos. 5,385,839 and 5,168,062), the SV40 early promoter region (Benoist and Chambon, 1981, Nature, 290:304-310), the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (Yamamoto et al., Cell, 22:787-797, 1980), the herpes thymidine kinase promoter (Wagner et al., Proc. Natl. Acad. Sci. USA, 78:1441-1445, 1981), the regulatory sequences of the metallothionein gene (Brinster et al., Nature, 296:39-42, 1982); prokaryotic expression vectors such as the β-lactamase promoter (Villa-Kamaroff et al., Proc. Natl. Acad. Sci. USA, 75:3727-3731, 1978), or the tac promoter (DeBoer et al., Proc. Natl. Acad. Sci. USA, 80:21-25, 1983); see also "Useful proteins from recombinant bacteria" in Scientific American, 242:74-94, 1980: promoter elements from yeast or other fungi such as the Gal4 promoter, the ADC (alcohol dehydrogenase) promoter, PGK (phosphoglycerol kinase) promoter, alkaline phosphatase promoter; and the animal transcriptional control regions, which exhibit tissue specificity and have been utilized in transgenic animals: elastase I gene control region which is active in pancreatic acinar cells (Swift et al., Cell, 38:639-646, 1984; Ornitz et al., Cold Spring Harbor Symp. Quant. Biol., 50:399-409, 1986; MacDonald, Hepatology, 7:425-515, 1987); insulin gene control region which is active in pancreatic beta cells (Hanahan, Nature, 315:115-122, 1985), immunoglobulin gene control region which is active in lymphoid cells (Grosschedl et al., Cell, 38:647-658, 1984; Adames et al., Nature, 318:533-538, 1985; Alexander et al., Mol. Cell. Biol., 7:1436-1444, 1987), mouse mammary tumor virus control region which is active in

testicular, breast, lymphoid and mast cells (Leder *et al.*, Cell, 45:485-495, 1986), albumin gene control region which is active in liver (Pinkert *et al.*, Genes and Devel., 1:268-276, 1987), alpha-fetoprotein gene control region which is active in liver (Krumlauf *et al.*, Mol. Cell. Biol., 5:1639-1648, 1985; Hammer *et al.*, Science, 235:53-58, 1987), alpha 1-antitrypsin gene control region which is active in the liver (Kelsey *et al.*, *Genes and Devel.*, 1:161-171, 1987), beta-globin gene control region which is active in myeloid cells (Mogram *et al.*, Nature, 315:338-340, 1985; Kollias *et al.*, Cell, 46:89-94, 1986), myelin basic protein gene control region which is active in oligodendrocyte cells in the brain (Readhead *et al.*, Cell, 48:703-712, 1987), myosin light chain-2 gene control region which is active in skeletal muscle (Sani, Nature, 314:283-286, 1985), and gonadotropic releasing hormone gene control region which is active in the hypothalamus (Mason *et al.*, Science, 234:1372-1378, 1986).

Specific Receptor Combinations

15

20

25

5

10

Various heterodimeric receptor combinations, based on endogenous co-expression of the receptor subunits, are specifically contemplated by the invention. Specific examples are set forth below. In these instances, the existence of a heterodimeric receptor form accounts for the observed characteristics of ligand binding. Thus, in the section that follows, the boldface headings are contributed by the present invention. The explanatory text explains the basis for identification of the heterodimeric receptor of the invention based on evidence for co-expression of each receptor subunit in the cell, and in some instances by previously unexplained pharmacological data.

The identification of these specific receptor combinations provides a basis for identifying heterodimeric receptor ligands that modulate cellular activity. Modulation of the heterodimeric receptor combinations with such ligands will provide a strategy for affecting various neurological and physiological changes *in vivo*.

It bears noting that although the following receptors have been identified as co-expressed in cells, and in some cases there is evidence for co-

10

15

20

25

30

modulation of ligand binding, there is no indication of the possible existence of a heterodimeric opioid receptor of the invention.

Kappa and D2: The noradrenergic neurons of the autonomic nervous and the central nervous system are endowed with presynaptic receptors by which noradrenaline release is inhibited by noradrenaline itself (via the α_2 -autoreceptor) and by other transmitters and mediators (via heteroreceptors). Frequently, inhibitory interactions exist between auto- and heteroreceptors, as is the case for the following heteroreceptors: adenosine A1, cannabinoid CB1, dopamine D2/D3, histamine H3, 5-hydroxytryptamine (seratonin) 5-HT (1B), imidazoline muscarine M2, delta opioid, kappa opioid, mu opioid, orphan opioid (ORL1), prostaglandin EP3 and somatostatin SRIF1. Such interactions may prevent the identification of a putative heteroreceptor or the quantitative estimation of the effect mediated by this receptor (Schlicker and Gothert, Brain Res. Bull., 47:129, 1998).

Studies on rats, where U-69593, the selective κ-opioid agonist, was repeatedly administered in daily injections, demonstrated that kappa-opioid agonists induce long-term alterations in dopamine D2 receptors. Furthermore, the finding that these changes in receptor number require repeated injections and a withdrawal time of one day suggests that these alterations are compensatory in nature (Izenwasser *et al.*, Synapse, 30:275, 1998).

The presynaptic regulation of stimulated dopamine release from superfused rat striatal synaptosomes by opioids and GABA has been studied. It was found that dopamine D2 autoreceptors were inhibited through activation of a homogenous population of kappa-opioid receptors in view of the potent inhibitory effect of kappa-selective agonist U-69593 (Ronken *et al.*, J Neurochem., 61:1634, 1993).

The present invention provides the first biochemical and pharmacological evidence for the heterodimerization of the fully functional kappa opioid receptor and the dopamine D2 receptor. As described in more detail in the Example 3 (*infra*), this heterodimerization was demonstrated using co-expression of the tagged versions of the two receptors in cultured cells (that normally don't express

10

15

20

25

these receptors), followed by immunoprecipitation of receptor heterodimers with antitag antibodies. Kappa-dopamine D2 heterodimers were observed only in lysate from cells co-expressing the two receptors together, and not in the lysate from a mixture of cells individually expressing these receptors. From ligand binding studies it was also shown that, when administerd together, highly selective ligands of the two receptors (agonists or antagonists) bound co-operatively and synergistically potentiated the hetero-receptor function.

Kappa and β_2 : The present invention also provides the first biochemical and pharmacological evidence for the heterodimerization of the fully functional kappa opioid receptor and the β_2 -adrenergic receptor. The experimental approach was identical to the one employed for kappa-dopamine D2 heterodimers, and is described in more detail in the Example 3 (infra).

Kappa and Delta: According to the present invention the ability of kappa receptors to heterodimerize with delta receptors was shown by co-expressing myc-tagged kappa receptors with FLAG-tagged delta receptors in cultured cells, followed by immunoprecipitation using antibodies specific for myc-tagged kappa receptors (described in more detail in the Example 1, infra). It was also shown that kappa-delta heterodimers are destabilized by a reducing agent indicating that disulfide bonds are involved in kappa-delta heterodimerization.

The present invention also addressed the issue of functional significance of kappa-delta heterodimerization. Thus, the pronounced effect of heterodimerization on receptor trafficking (i.e., internalization) was demonstrated using cells co-expressing kappa and delta receptors and etorphine, a potent non-selective opioid agonist that binds both delta and kappa receptors with high affinity. In addition, the results of ligand binding/competition assays imply that kappa-delta heterodimerization produces a new binding site which is able to synergistically bind highly selective ligands. The synergistic activation of heterodimeric receptor by selective ligands is reflected in a significant potentiation of signal transduction pathways (e.g., cAMP level reduction, activation of MAPK), and

10

15

20

25

suggests that the kappa-delta heterodimer represents a functional receptor with novel properties.

Delta and D2: Co-expression of these receptors was reported in, inter alia, Fuxe et al., The Opioid Peptide Systems: Their Organization and Role in Volume Transmission and Neuroendocrine Regulation. In: Regulatory Roles of opioid Peptides, Illes, P., Farsang, C Weinham eds., New York, pp 33-68, 1988. The present invention provides the first biochemical and pharmacological evidence for the heterodimerization of the fully functional delta opioid receptor and the dopamine D2 receptor. The experimental approach was identical to the one employed for kappadopamine D2 heterodimers (supra), and is described in more detail in the Example 3 (infra).

Mu and Delta: Dopamine D1 receptor-stimulated cyclic AMP efflux from superfused neostriatal slices was strongly inhibited by the delta-opioid receptor agonist [D-Pen2, D-Pen5] enkephalin (DPDPE, 1μM) and by the mu-opioid receptor agonist [D-Ala2, MePhe4, Gly-ol5] enkephalin (DAGO, 1μM). The data indicate that FIT (fentanyl isothiocyanate) and Naloxone, acting on delta and mu receptors, respectively, may share a common binding site (Schoffelmeer *et al.*, Eur. J. Pharmacol., 149:179, 1988), suggesting the involvement of a functional mu-delta opioid receptor complex.

Previous studies delineated two classes of delta binding sites; a delta not associated with the opioid receptor complex, termed the delta ncx site, and a delta site associated with the opioid receptor complex, termed the delta cx site. The main findings were that the pretreatment of membranes with (+)-trans-SUPERFIT, a delta selective acylating agent, decreased the IC50 values (*i.e.*, concentration required for 50% inhibition of binding) of delta-preferring drugs, and increased the IC50 values of mu-preferring drugs, for the delta cx binding site. Mu preferring drugs were non-competitive inhibitors of ³H[D-Ala, Leu5] enkephalin binding to the delta cx site, delta-preferring drugs were competitive inhibitors (Rothman *et al.*, Peptides, 13:1137, 1992).

10

15

20

25

30

Acute antinociceptive effects of opioid mu agonists were shown to be modulated by delta agonists, while the development of antinociceptive tolerance was not (Jiang et al., Eur. J. Pharmacol., 186:137, 1990).

Radioligand binding assays and functional experiments revealed that the SK-N-BE neuroblastoma cell line expresses a similar ratio of mu and delta opioid receptors, both negatively coupled to adenylyl cyclase through pertussis toxin-sensitive G-proteins (Palazzi *et al.*, J. Neurochem., 67:138, 1996). The findings here indicate that some functional interaction occurred between the two opioid subtypes. In fact, a long term exposure to DAMGO, a mu-selective agonist, sensitized the functional response of the delta-selective agonist, but not vice versa. These data supports the hypothesis of the existence of cross-talk between mu and delta receptors in the SK-N-BE cell line.

Morphine is known to bind primarily to mu receptors; this is supported by the total lack of response to morphine in transgenic animals lacking mu opioid receptors (Mathes *et al.*, Nature, 383:819-823, 1996). Interestingly, in these animals the delta ligand-mediated analgesia is also altered suggesting an interaction between these two receptors (Sora *et al.*, Eur J. Pharmacol., R1-R29, 1997). Likewise, in wild-type animals chronic morphine treatment was found to selectively upregulate a subpopulation of delta opioid receptors (Rothman *et al.*, Eur. J. Pharm., 160:71-82, 1989; Rothman *et al.*, Eur. J. Pharm., 124:113-119, 1986). When opioid receptor roles in the analgesia were assessed using classical delta-opioid receptor agonist

roles in the analgesia were assessed using classical delta-opioid receptor agonist, DPDPE, analgesia was dramatically reduced in mu-opioid receptor knockout mice in a gene-dose dependent fashion (Sora *et al.*, *supra*).

In addition, immunohistochemical studies of the opioid receptor distribution in the CNS have shown that mu and delta receptors co-localize to the same axonal terminals of the superficial dorsal horn (Mohler and Fritschy, Trends in Pharmacol. Sci., 20:87-89, 1999; Vaught *et al.*, Life Sci., 30:1443-1445, 1982).

As detailed in Example 2 (*infra*), the present invention describes the isolation (by immunoprecipitation) of approximately 150 kDa mu-delta heterodimer from the cultured cells expressing both receptors in their tagged forms. Examination

10

15

20

25

30

of the ligand binding properties of the mu-delta heterodimer in cells expressing both receptors revealed the synergistic binding of receptor type selective ligands suggesting the formation of a heterodimer-specific novel binding site. Morphine and other opiates were demonstrated to bind this site with super high affinity.

Mu and α_2 : Mu-opioid receptor efficacy appears to be dependent on the degree of activation of α_2 -adrenoceptors in central noradrenergic nerve terminals, possibly through a local receptor interaction within the nerve terminal membrane (Schoffelmeer *et al.*, N. S. Arch. Pharmacol., 333:377, 1986).

In pontine slices of the rat brain, the frequency of the spontaneous action potentials of locus coeruleus (LC) neurons was recorded extracellularly. The spontaneous activity of LC neurons was inhibited by somatic alpha 2-adenoceptors and opioid mu-receptors. It has been suggested that the two receptors interact with each other at a site located between themselves and not in the subsequent common signal transduction system (Illes and Norenberg, N. S. Arch. Pharmacol., 342:490, 1990).

A number of *in vivo* studies have shown that α_2 -adrenergic receptor modulates opioid effects (Aley and Levine, J. Neurosci., 17:735-744, 1997; Bentley *et al.*, Br. J. Pharmacol., 79:125-134, 1983; Bucher *et al.*, N. S. Arch Pharmacol., 345:37-43, 1992; Stone *et al.*, J Neurosci., 17:7157-65, 1997). One of these studies (Aley and Levine, *supra*) showed that morphine was able to modulate the activity of α_2 -adrenergic receptor and not of the A1 adenosine receptor. This receptor cross talk is hypothesized to be either via second messenger systems or via physical association of the receptors. However, the asymmetric interactions as observed by morphine's ability to modulate the activity of α_2 C-adrenergic receptor and not of the A1 adenosine receptor, although both receptors negatively coupled to adenylate cyclase, has led to the proposal that the receptors are physically associated on the membranes and that the mu receptors have the ability to complex with α_2 C-adrenergic receptor and not with the A1 adenosine receptor (Darland and Grandy, Br. J. Anaesth., 81:29-37, 1998). In support of this, studies carried out by Stone *et al.* (*supra*) have shown that the α_2 A-adrenergic receptor subtype (a point mutation in the α_2 A-adrenergic

10

15

20

25

30

receptor) is the primary mediator of α_2 A-adrenergic spinal analgesia and is necessary for analgesic synergy with opioids. This synergy between opiates and adrenergic drugs in mediating spinal analgesia is lost in transgenic animals lacking functional adrenergic receptor. The present invention provides the first biochemical and pharmacological evidence for the heterodimerization of the fully functional delta opioid receptor and α_2 A-adrenergic receptor. The experimental approach was identical to the one employed in kappa-D₂ heterodimers, and is described in detail in Example 3 (infra).

Kappa and α_2 : The interaction of presynaptic, release inhibiting alpha 2-adrenoceptors, opioid kappa-receptors and adenosine A1-receptors was studied in slices of the parieto-occipital cortex of rabbits. The selective kappa-receptor agonist ethylketocyclazocine (EK) attenuated markedly the release-inhibiting effects of the α_2 -adrenoceptor-selective agonists and antagonists (Limberger *et al.*, N. S. Arch Pharmacol 338:53, 1988). It was concluded that there is an interaction between presynaptic α_2 -adrenoceptors and opioid kappa-receptors, either at the level of the receptors themselves or of the post-receptor reaction chains.

Delta and $β_2$: Cardiac myocyte sarcolemma contains both catecholamine and opioid peptide receptors (OPRs). Potent inhibitory "cross-talk" between delta-OPR and β-adrenergic receptor signaling pathways was found to occur via a PTX (pertussis toxin) sensitive G-protein involved in adenylyl cyclase inhibition in rat heart (Pepe *et al.*, Circulation, 95:2122, 1997), suggesting the interaction between delta-OPR and β-adrenergic receptor.

The present invention provides the first biochemical and pharmacological evidence for the heterodimerization of the fully functional delta opioid receptor and the β₂-adrenergic receptor. The experimental approach was identical to the one employed for kappa-dopamine D2 heterodimers (supra), and is described in more detail in the Example 3 (infra).

Kappa and CCR5 or

CXCR4: Co-expression of these receptor types has been previously reported (Chao et al., Proc. Natl. Acad. Sci. USA, 923:8051-6, 1996). The isolation of opioid receptor dimers with other opioid, dopamine, and adrenergic receptors, which is disclosed in

the present invention, suggests the possibility of formation of kappa-CCR5 and kappa-CXCR4 heterodimers. Given the approaches disclosed in the present invention, anyone skilled in the art would be in the position to demonstrate the existence of kappa-CCR5 and kappa-CXCR4 heterodimers experimentally. The existence of such heterodimers will, in turn, explain a previously reported feedback of opiates on immune system function. It will also provide a solid basis for using opioids as competitors for undesirable chemokine receptor interactions. Primary among these undesirable interactions is the interaction of HIV with CCR5 for intracellular transport.

10

15

5

Opioid Receptor and Nociceptin Receptor: Another candidate for heterodimerization with opioid receptors is a recently identified nociceptin receptor. The cDNA for nociceptin (also designated orphanin FQ) receptor was isolated based on the homology to opioid receptors (Civelli *et al.*, Crit. Rev. Neurobiol., 12,163-76, 1998). A search for ligands that activate this receptor led to the identification of nociceptin (orphanin FQ) peptides (Darland and Grandy, *supra*). Pharmacological and behavioral studies have shown that these peptides modulate opiate-mediated analgesia (Civelli *et al.*, *supra*).

Screening Assays

20

25

As exemplified in the Examples, *infra*, the present invention provides various screening assays for modulation of heterodimeric opioid receptor activation. The assays of the invention are particularly advantageous by permitting rapid evaluation of cellular responses. Biological assays, which depend on testing perception, pain sensitivity, survival, or some other response *in vivo* require substantial amounts of time and resources to evaluate. By detecting individual signals in the signal transduction pathway, the present invention short-circuits the more tedious and time consuming biological assays. Furthermore, the signal transduction assays can often be performed with very small amounts of material.

10

15

20

25

In general, a screening assay of the invention makes use of the cells expressing receptor proteins (described above), various heterodimeric receptor ligands, and a candidate compound for testing.

The present invention contemplates screens for small molecule compounds, including peptides and peptidomimetics, and including receptor ligand analogs and mimics, as well as screens for natural compounds that bind to and agonize or antagonize heterodimeric opioid receptors in vivo. Such agonists or antagonists may, for example, interfere in the phosphorylation or dephosphorylation of signal transduction proteins. For example, natural products libraries can be screened using assays of the invention for such molecules. As used herein, the term "compound" refers to any molecule or complex of more than one molecule that modulates heterodimeric opioid receptor function. The present invention contemplates screens for synthetic small molecule agents, chemical compounds, chemical complexes, and salts thereof as well as screens for natural products, such as plant extracts or materials obtained from fermentation broths. Other molecules that can be identified using the screens of the invention include opioids, opiates, narcotics, proteins and peptide fragments, peptides, nucleic acids and oligonucleotides. carbohydrates, phospholipids and other lipid derivatives, steroids and steroid derivatives, prostaglandins and related arachadonic acid derivatives, etc.

One approach to identifying such compounds uses recombinant bacteriophage to produce large libraries. Using the "phage method" (Scott and Smith, Science, 249:386-390, 1990; Cwirla, et al., Proc. Natl. Acad. Sci.USA, 87:6378-6382, 1990; Devlin et al., Science, 49:404-406, 1990), very large libraries can be constructed (10⁶-10⁸ chemical entities). A second approach uses primarily chemical methods, of which the Geysen method (Geysen et al., Molecular Immunology, 23:709-715, 1986; Geysen et al., J. Immunologic Method, 102:259-274, 1987) and the method of Fodor et al. (Science, 251:767-773, 1991) are examples. Furka et al. (14th International Congress of Biochemistry, Volume 5, Abstract FR:013, 1988; Furka, Int. J. Peptide Protein Res., 37:487-493, 1991) and U.S. Patent Nos. 4,631,211

10

15

20

25

30

WO 00/77238 PCT/US00/16559

-36-

and 5,010,175 describe methods to produce a mixture of peptides that can be tested as agonists or antagonists.

In another aspect, synthetic combinatorial libraries (Needels *et al.*, Proc. Natl. Acad. Sci. USA, 90:10700-4, 1993; Ohlmeyer *et al.*, Proc. Natl. Acad. Sci. USA, 90:10922-10926, 1993; PCT Publication Nos. WO 92/00252 and WO 94/28028) and the like can be used to screen for compounds according to the present invention.

Test compounds may be screened from large libraries of synthetic or natural compounds. Numerous means are currently used for random and directed synthesis of saccharide-, peptide-, and nucleic acid-based compounds. Synthetic compound libraries are commercially available from Maybridge Chemical Co. (Trevillet, Cornwall, UK), Comgenex (Princeton, NJ), Brandon Associates (Merrimack, NH), and Microsource (New Milford, CT). A rare chemical library is available from Aldrich (Milwaukee, WI). Alternatively, libraries of natural compounds in the form of bacterial, fungal, plant and animal extracts are available from, *e.g.*, Pan Laboratories (Bothell, WA) or MycoSearch (NC), or are readily producible. Additionally, natural and synthetically produced libraries and compounds are readily modified through conventional chemical, physical, and biochemical means (Blondelle *et al.*, Tib Tech, 14:60, 1996).

In one embodiment, test compounds are peptides or peptidomimetic compounds generated by rational drug design based on the structure of known opioid peptides, or derived from combinatorial libraries. The term "peptide" is used in its broadest sense to refer to a compound of two or more subunit amino acids, amino acid analogs or peptidomimetics. The subunits may be linked by peptide bonds. In another embodiment, the subunits may be linked by other bonds, *e.g.*, ester, ether, etc. As used herein the term "amino acid" refers to either natural and/or unnatural or synthetic amino acids, including glycine and both the D or L optical isomers, and amino acid analogs and peptidomimetics. Thus, peptides of the invention may comprise D-amino acids, a combination of D- and L-amino acids, and various "designer" amino acids (*e.g.*, β -methyl amino acids, C α -methyl amino acids, and N α -

10

15

20

25

methyl amino acids, etc.) to convey special properties to peptides in the library. Additionally, by assigning specific amino acids at specific coupling steps, peptide libraries with α -helices, β -turns, β -sheets, γ -turns, and cyclic peptides can be generated. A peptide of three or more amino acids is commonly called an oligopeptide if the peptide chain is short. If the peptide chain is long, the peptide is commonly called a polypeptide or a protein.

The following non-classical amino acids may be incorporated in peptyides of the invention to introduce particular conformational motifs: 1,2,3,4tetrahydroisoquinoline-3-carboxylate (Kazmierski et al., 1991, J. Am. Chem. Soc., 113:2275-2283); (2S,3S)-methyl-phenylalanine, (2S,3R)-methyl-phenylalanine, (2R,3S)-methyl-phenylalanine and (2R,3R)-methyl-phenylalanine; 2aminotetrahydronaphthalene-2-carboxylic acid (Landis, 1989, Ph.D. Thesis, University of Arizona); hydroxy-1,2,3,4-tetrahydroisoquinoline-3-carboxylate (Mivake et al., 1989, J. Takeda Res. Labs, 43:53-76); b-carboline (D and L) (Kazmierski, 1988, Ph.D. Thesis, University of Arizona); histidine isoquinoline carboxylic acid, HIC (Zechel et al., 1991, Int. J. Pep. Protein Res., 38:131-138); and histidine cyclic urea (Dharanipragada). The following amino acid analogs and peptidomimetics may be incorporated to induce or favor specific secondary structures: LL-Acp (LL-3-amino-2-propenidone-6-carboxylic acid), a β-turn inducing dipeptide analog (Kemp et al., 1985, J. Org. Chem., 50:5834-5838); β-sheet inducing analogs (Kemp et al., 1988, Tetrahedron Lett. 29:5081-5082); β-turn inducing analogs (Kemp et al., 1988, Tetrahedron Lett., 29:5057-5060); µ-helix inducing analogs (Kemp et al., 1988, Tetrahedron Lett., 29:4935-4938); γ-turn inducing analogs (Kemp et al., 1989, J. Org. Chem., 54:109:115); and analogs provided by the following references: Nagai and Sato, 1985, Tetrahedron Lett., 26:647-650; DiMaio et al., 1989, J. Chem. Soc. Perkin Trans., p. 1687; also a Gly-Ala turn analog (Kahn et al., 1989, Tetrahedron Lett., 30:2317); amide bond isostere (Jones et al., 1988, Tetrahedron Lett., 29:3853-3856); tretrazol (Zabrocki et al., 1988, J. Am. Chem. Soc., 110:5875-5880); DTC (Samanen et al., 1990, Int. J. Protein Pep. Res., 35:501:509); and analogs taught in

10

15

Olson et al., 1990, J. Am. Chem. Sci., 112:323-333 and Garvey et al., 1990, J. Org. Chem., 56:436.

The coupling of the amino acids may be accomplished by techniques familiar to those in the art (Stewart and Young, 1984, *Solid Phase Synthesis*, Second Edition, Pierce Chemical Co., Rockford, IL; Fields and Noble, 1990, Int. J. Pept. Protein Res., 35:161-214), or using automated synthesizers, such as sold by ABS.

Intact cells expressing heterodimer of interest can be used in screening methods to identify candidate drugs. In one series of embodiments, a permanent cell line is established, e.g., as exemplified below. Alternatively, cells (including without limitation mammalian, insect, yeast, or bacterial cells) are transiently programmed to express the receptor subunit genes by introduction of appropriate DNA or mRNA. Identification of candidate compounds can be achieved using any suitable assay, including without limitation (i) assays that measure selective binding of test compounds; (ii) assays that measure the ability of a test compound to modify (i.e., inhibit or enhance) a measurable activity or function of the receptor; (iii) assays that monitor receptor trafficking, e.g., internalization and re-expression; and (iv) assays that measure the ability of a compound to modify (i.e., inhibit or enhance) transcription of proteins induced by the receptor.

High-Throughput Screen

Agents according to the invention may be identified by screening in high-throughput assays, including without limitation cell-based or cell-free assays. It will be appreciated by those skilled in the art that different types of assays can be used to detect different types of agents. Several methods of automated assays have been developed in recent years so as to permit screening of tens of thousands of compounds in a short period of time (e.g., using a 96-well format). For literature references see, e.g., Beggs et al., 1999, J. Biol. Screening, Vol.4, No.3; Renate de Wit et al., 1998, J. Biol. Screening, Vol.3, No.4; Fox et al., 1999, J. Biol. Screening, Vol.4, No.4; Boyd et al., 1996, Clin.Chem., 42:1901-10; Broach et al., 1996, Nature, 384, Supp.:14-16; Cusack et al., 1993, J. Rec. Res., 13:123-134; US Patent Nos. 4,980,281 and 5,876,951; PCT Publication Nos. WO 97/45730, WO 97/14812, and WO 97/10502.

10

15

20

25

Such high-throughput screening methods are particularly preferred. The use of high-throughput screening assays to test for agents is greatly facilitated by the availability of large amounts of purified polypeptides, as provided by the invention.

Specific Screening Methods

There are several screening methods available for the discovery of specific heterodimer receptor ligands. These screens include radioligand binding, signal transduction, expression, reporter assays, and structure function of existing agonists and antagonists. The utilization of yeast as a screening tool can accelerate the search for novel opioid analogs. This technology can be utilized for screening of novel compounds that are identified in high throughput screens.

Radioligand Binding Assays

Radioligand binding assays allow further characterization of hits from high throughput screens as well as analogs of heterdimer receptor agonists and antagonists. Any of the ligands described below can be radiolabelled for direct binding assays, or alternatively, used in competitive binding assays.

Signal Transduction Assays

G protein coupled receptors (GPCR) are coupled to a variety of heterotrimeric G proteins, which are comprised of α , β , and γ subunits. Upon agonist binding to a GPCR at the cell surface, conformational changes occur within the agonist:GPCR complex, which lead to the dissociation of the G protein α subunit from the $\beta\gamma$ subunits. The G_{α} and $G_{\beta\gamma}$ subunits then stimulate a variety of intracellular effectors, which transduce the extracellular signal to the inside of the cell. Various signal transduction systems known to be coupled to GPCRs include adenylate cyclase, phospholipase C, phospholipase A_2 , sodium/hydrogen exchange, etc. Thus, measurements of intracellular calcium concentrations and adenylate cyclase activity indicate whether a hit or test compound is functionally behaving as an agonist or antagonist at the heterodimeric receptor of the invention.

In a specific embodiment, G-protein signal transduction is coupled to expression of a reporter gene, thus permitting a reporter gene screening assay.

10

15

20

25

30

Calcium Mobilization Assay

Whole cells expressing the heterodimeric receptor are loaded with a fluorescent dye that chelates calcium ions, such as FURA-2. Upon addition of receptor agonist to these cells, calcium is released from the intracellular stores. The dye chelates these calcium ions. The spectrophotometrically determined ratio of the dye:calcium complexes to free dye provides a numerical measurement of the changes in intracellular calcium concentrations upon addition of opioid-like substrate. Hits from screens and other test compounds can be similarly tested in this assay to functionally characterize them as agonists or antagonists. Increases in intracellular calcium concentrations are expected for compounds with agonist activity while compounds with antagonist activity are expected to block opioid-like substrate stimulated increases in intracellular calcium concentrations.

Cyclic AMP Accumulation Assay

Upon agonist binding, G_s-coupled GPCRs stimulate adenylate cyclase. Adenylate cyclase catalyzes the production of cyclic AMP (cAMP) from adenosine-5'-triphosphate which, in turn, activates protein kinases. G_i-coupled GPCRs are also coupled to adenylate cyclase, however, agonist binding to these receptors results in the inhibition of adenylate cyclase and the subsequent inhibition of cAMP accumulation. To measure the inhibition of cAMP accumulation, cells expressing G_i-coupled receptors must first be stimulated to elevate cAMP levels. This is achieved by treating the cells with forskolin, a diterpene that directly stimulates cAMP production. Co-incubation of cells expressing G_i-coupled receptors with forskolin and a functional agonist will result in the inhibition of forskolin-stimulated cAMP accumulation. For a cAMP assay, cells stably expressing a heterodimeric receptor of the invention can be incubated with a test compound, and with forskolin plus a test compound. The cells are then lysed and cAMP levels are measured using the [¹²⁵I]cAMP radioimmunoassay (RIA).

Methods for Detecting Signals

The present invention provides numerous methods for detecting signals, including but not limited to directly detecting phosphorylation of proteins using

10

15

20

25

radioactive phosphorous compounds, indirectly detecting phosphorylation with antibodies specific for phosphorylated epitopes, or detecting signals from activated signal transduction proteins, such as gene expression. Preferably, gene expression is detected using a reporter gene assay. Alternatively, a downstream element of a signal transduction pathway can be modified to have reporter activity, *i.e.*, the reporter gene can be activated by signals generated as a consequence of receptor binding, rather than as a direct result of receptor binding. Reporter genes for use in the invention encode detectable proteins, including, but are by no means limited to, chloramphenicol transferase (CAT), β -galactosidase (β -gal), luciferase, green fluorescent protein (GFP), yellow fluorescent protein (YFP), alkaline phosphatase, and other genes that can be detected, *e.g.*, immunologically (by antibody assay).

In one embodiment, the instant invention discloses the use of the Bioluminescence Resonance Energy Transfer (BRET) method for the detection of protein-protein interactions (see, e.g., Xu et al., Proc. Natl. Acad. Sci. U S A, 96:151-156, 1999; Angers et al., Proc. Natl. Acad. Sci. U S A, 97:3684-3689, 2000). BRET measures the transfer of energy between a luminescent donor (e.g., luciferase expressed as a fusion protein with one of the receptors) and a fluorescent acceptor (e.g., YFP expressed as a fusion protein with one of the receptors). According to the present invention, BRET can be used (i) to examine the heterodimerization between various receptors (i.e., opioid/opioid receptors and opioid/catecholamine receptors) and (ii) to study the effect of agonist/antagonist binding on the level of dimers (see Example 4, infra).

In another embodiment, a yeast screening assay, useful for testing agonists and antagonists of mammalian G-protein coupled receptors, e.g., as disclosed in U.S. Patent No. 5,482,832, can be used.

Specific but not limiting examples of opioid receptor-evoked cellular responses that can be monitored are summarized in Table 1.

Table 1 - Opioid Receptor-Evoked Cellular Responses

Direct G-protein $\beta \gamma$ or α subunit-mediated effects

activation of an inwardly rectifying potassium channel inhibition of voltage operated calcium channels (N, P, Q, and R type) inhibition of adenylyl cyclase

Responses of unknown intermediate mechanism

activation of PLC β (possibly direct G protein $\beta\gamma$ subunit activation) activation of MAPKinase activation of large conductance calcium-activated potassium channels activation of L type voltage operated calcium channels inhibition of T type voltage operated calcium channels direct inhibition of transmitter exocytosis

15

10

5

Responses which are a consequence of opioid-evoked changes in other effector pathways

activation of voltage-sensitive potassium channels (activation of PLA_2) inhibition of M channels (activation of PLA_2)

inhibition of the hyperpolarisation-activiated cation channel (Ih) (Reduction in cAMP levels following inhibition of adenylyl cyclase)
elevation of intracellular free calcium levels (activation of PLCβ, activation of L type voltage operated calcium conductance)
potentiation of NMDA currents (activation of protein kinase C)
inhibition of transmitter release (inhibition of adenylyl cyclase, activation of

inhibition of transmitter release (inhibition of adenylyl cyclase, activation of potassium channels and inhibition of voltage operated calcium channels) decreases in neuronal excitability (activation of potassium channels) increases in neuronal firing rate (inhibition of inhibitory transmitter release-disinhibition)

changes in gene expression (long-term changes in adenylyl cyclase activity, elevation of intracellular calcium levels, activation of cAMP response element binding protein (CREB)

5

Opioid Receptor Ligands

Various opioid receptor ligands, both synthetic molecules and endogenous opioid peptides, are known in the art (see, e.g., Tocris product literature) and are commercially available, e.g., from Tocris Cookson Inc. (USA). Tables 2 and 3 summarize some of such ligands, but are not intended to be limiting. These can serve as prototypes for drugs or drug design, based on targeting each subunit of the heterodimeric receptors of the invention. In particular, the invention contemplates designing heterodimeric receptors by linking subunit-specific ligands to each other using routine coupling chemistry.

15

10

Table 2 - Opoid Ligands

Receptor Type	μ-Receptor	δ-Receptor	к-Receptor	ORL,
Selective agonists	endormorphin-1 endormorphin-2 DAMGO	[D-Ala ²]-deltorphin I [D-Ala ²]-deltorphin II DPDPE DSLET SNC80 SNC121	enadoline BRL-52537 ICI-199,441 ICI-204,448 N-Methyl-N-[(1S)-1- phenyl-2(1-pyrrolidinyl) -ethyl]phenylacetamide (±)-1-(4-Trifluoromethyl phenyl)acetyl-2-(1-pyrro lidinyl)methylpiperidine (±)-U-50488 (+)-U-50488 U-54494A U-69593	nociceptin / OFQ Ac-RYYRWK-NH ₂ * nocstatin Noc II
Selective andagonists	CTOP Clocinnamox Etonitazenyl isothiocyanate β-Funaltrexamine Naloxonazine	naltrindole N-benzylnaltrindole naltriben TIPP-Ψ ICI 174864 ICI 154129 BNTX	nor-binaltorphimine DIPPA	None as yet**

-44-

Radioligands	[³H]-DAMGO	[³ H]-deltorphin II [³ H]-naltrindole [³ H]-pCI-DPDPE [³ H]-SNC 121	[³H]-enadoline [³H]-U69593	[³ H]-nociceptin
--------------	------------	--	-------------------------------	------------------------------

^{*}Related combinatorial library hits are also selective agonists (Dooley, et al., J. Pharmacol. Exp. Ther., 283:735, 1997).

**Ac-RYYRIK-NH₂ has been proposed to be an ORL₁ antagonist (Grevel and Sadee, Science,
 221:1198, 1983) whereas the putative antagonist [Phe¹Ψ(CH₂-NH)Gly²]nociceptin (1-13)NH₂ (Guerini et al., Br. J. Pharmacol., 123:163, 1998) appears to be a partial agonist.

Table 3 - Mammalian Endogenous Opioid Ligands

10	Precursor	Endogenous Peptide
	Pro-opiomelanocortin	β-Endorphin
	Pro-enkephalin	[Met]enkephalin [Leu]enkephalin
		Metorphamide
	Pro-dynorphin	Dynorphin A Dynorphin A(1-8) Dynorphin B α-neoendorphin β-neoendorphin
15	Pro-nociceptin / OFQ	Nociceptin
	Not known yet*	Endomorphin-1 Endomorphin-2

In addition to the opioid receptor ligands discussed above, various other GPRC ligands particularly catecholamines, can be used to prepare bi-functional, bi-specific compounds by joining them to an opioid receptor ligand, in connection with the present invention. Examples of some ligands are described in Table 4; additional ligands can be found, *inter alia*, in product literature and catalogs from

25 Tocris (http://www.tocris.com).

Table 4 - GPRC Ligands

Table 4 - GPRC Ligands			
Ligand	D2	α ₂ -AR	beta2-AR
agonists	Bromocriptine mesylate Dihydroergotamine mesylate (-)-Quinpirole dihydrochloride	Clonidine hydrochloride Guanabenz acetate Guanfacine hydrochloride Oxymetazoline hydrochloride Rilmenidine hemifumarate UK 14,304	Clenbuterol Procaterol hydrochloride Isopoterenol
antagonists	(+)-AJ 76 hydrochloride Haloperidol hydrochloride L-741,626 Pimozide Remoxipride hydrochloride (RS)-(±)-Sulpiride (S)-(-)-Sulpiride	ARC 239 hydrochloride Imiloxan hydrochloride Rauwolscine hydrochloride RS79948 hydrochloride RS 15385	ICI 118,551 hydrochloride
receptor selective compounds	AMI-193 3'- Fluorobenzylspiperon e maleate 5-Hydroxy PIPAT	Agmatine sulfate	[³H]-ICI 118,551

10

15

Bifunctional, Bispecific Compounds

As noted above, in a specific embodiment the invention provides novel compounds based on the discovery of the heterodimeric opioid receptors of the invention. While the present invention provides screens capable of identifying ligands that bind specifically to the heterodimeric receptor, the invention also contemplates modifying existing ligands, or ligands discovered in the future, by binding them together to form a bifunctional, bispecific ligand. Generally, such

10

15

20

25

30

bifunctional bispecific ligands will be formed from a pair of individual ligands, although larger aggregates are also contemplated.

Ligands used to form bifunctional, bispecific ligands will include a reactive group for conjugation to a substituent (e.g., a free carboxylic acid group; an amino group; a sulfhydryl group; a hydroxyl group; an imidazole moiety; or an aryl group). As used herein, the term "reactive group" refers to a functional group on a ligand that reacts with a functional group on the other ligand, or on a linker or spacer used to join the ligands. The term "functional group" retains its standard meaning in organic chemistry. Preferred examples of such reactive groups for conjugation to specific substituents are carboxylic acid or sulfonic acid derivatives (including acid chlorides, anhydrides, and reactive carboxylic esters such as N-hydroxysuccinimide esters), imidoesters, diazonium salts, isocyanates, isothiocyanates, halonitrobenzenes, halocarbonyl compounds, maleimides, sulfur mustards, nitrogen mustards, and aziridines. Such groups are found, inter alia, on the endogenous peptide opioids. Various cross-linking chemistries known in the art can be used to form the bifunctional, bispecific compounds. Examples of such reagents are described below.

Functional groups reactive with primary amines. Reactive groups that would form a covalent bond with primary amines include, but are not limited to, acid chlorides, anhydrides, reactive esters, α,β -unsaturated ketones, imidoesters, and halonitrobenzenes. Various reactive esters with the capability of reacting with nucleophilic groups such as primary amines are available commercially, *e.g.*, from Pierce (Rockford, IL).

Functional groups reactive with carboxylic acids. Carboxylic acids in the presence of carbodiimides, such as EDC, can be activated, allowing for interaction with various nucleophiles including primary and secondary amines. Alkylation of carboxylic acids to form stable esters can be achieved by interaction with sulfur or nitrogen mustards or haptens containing either an alkyl or aryl aziridine moiety.

Functional groups reactive with aromatic groups. Interaction of the aromatic moieties can be accomplished by photoactivation of an aryl diazonium compound in the presence of the aromatic moiety. Thus, modification of the aryl side

10

15

20

m-maleimidobenzoate.

chains of histidine, tryptophan, tyrosine, and phenylalanine, particularly histidine and tryptophan, can be achieved by the use of such a reactive functionality.

Functional groups reactive with sulfhydryl groups. There are several

reactive groups that can be used to modify sulfhydryl groups. Molecules containing an α,β-unsaturated ketone or ester moiety, such as maleimide, provide a reactive functionality that can interact with sulfhydryl as well as amino groups. In addition, a reactive disulfide group, such as 2-pyridyl- dithio group or a 5,5'-dithio-bis-(2-nitrobenzoic acid) group, can react as well. Some examples of reagents containing reactive disulfide bonds include N-succinimidyl3-(2-pyridyldithio)- propionate (Carlsson *et al.*, Biochem J., 173:723-737, 1978), sodium S-4-succinimidyl oxycarbonyl-alpha-methylbenzylthiosulfate, and 4-succinimidyloxycarbonyl-alpha-methyl- (2-pyridyldithio)toluene. Some examples of reagents comprising reactive groups having a double bond that reacts with a thiol group include succinimidyl 4-(N-maleimidomethyl) cyclohexahe-1-carboxylate and succinimidyl

Other functional molecules include succinimidyl 3-(maleimido)propionate, sulfosuccinimidyl 4-(p-maleimido-phenyl)butyrate, sulfosuccinimidyl 4-(N-maleimidomethyl- cyclohexane)-1-carboxylate, maleimidobenzoyl-N-hydroxy-succinimide ester. Many of the above-mentioned reagents and their sulfonate salts are available commercially, e.g., from Pierce (Rockford, IL).

Synergistic Compositions

It has also been found, as exemplified *infra*, that the heterodimeric opioid receptors of the invention bind synergistically to combinations of ligands (non-linked together), e.g., agonists or antagonists for each of the heterodimeric receptor subunits. Thus, the invention further provides such synergistic compositions, e.g., comprising combinations of the ligands described above with at least one member of such combination interacting with each subunit of the heterodimeric receptor.

10

15

20

The compounds and compositions of the invention can be formulated

The phrase "pharmaceutically acceptable" refers to molecular entities and compositions that are physiologically tolerable and do not typically produce an allergic or similar untoward reaction, such as gastric upset, dizziness and the like, when administered to a subject. Preferably, as used herein, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the compound is administered. Such pharmaceutical carriers can be sterile liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. Water or aqueous solution saline solutions and aqueous dextrose and glycerol solutions are preferably employed as carriers, particularly for injectable solutions. Suitable pharmaceutical carriers are described in "Remington's Pharmaceutical Sciences" by E.W. Martin.

The phrase "therapeutically effective amount" is used herein to mean an amount sufficient to reduce by at least about 15%, preferably by at least 50%, more preferably by at least 90%, and most preferably prevent, a clinically significant deficit in the activity, function and response of the host. Alternatively, a therapeutically effective amount is sufficient to cause an improvement in a clinically significant condition in the host. These parameters will depend on the severity of the condition

30

25

10

15

20

25

30

being treated, other actions, such as diet modification, that are implemented, the weight, age, and sex of the subject, and other criteria, which can be readily determined according to standard good medical practice by those of skill in the art.

According to the invention, the component or components of a pharmaceutical composition of the invention may be introduced parenterally, transmucosally, *e.g.*, orally, nasally, or rectally, or transdermally. Parenteral administration includes, but is not limited to, intravenous, intra-arteriole, intramuscular, intradermal, subcutaneous, intraperitoneal, intraventricular, and intracranial administration.

In a specific embodiment, the therapeutic compound can be delivered in a vesicle, in particular a liposome (see Langer, Science, 249:1527-1533, 1990; Treat et al., in Liposomes in the Therapy of Infectious Disease and Cancer, Lopez-Berestein and Fidler eds., Liss: New York, pp. 353-365, 1989; Lopez-Berestein, ibid., pp. 317-327; see generally ibid.). To reduce its systemic side effects, this may be a preferred method for introducing the compound.

In yet another embodiment, the therapeutic compound can be delivered in a controlled or sustained release system. For example, a compound or composition may be administered using intravenous infusion, an implantable osmotic pump, a transdermal patch, liposomes, or other modes of administration. In one embodiment, a pump may be used (see Langer, supra; Sefton, CRC Crit. Ref. Biomed. Eng., 14:201, 1987; Buchwald et al., Surgery, 88:507, 1980; Saudek et al., N. Engl. J. Med., 321:574, 1989). In another embodiment, polymeric materials can be used (see Medical Applications of Controlled Release, Langer and Wise eds., CRC Press: Boca Raton, Florida, 1974; Controlled Drug Bioavailability, Drug Product Design and Performance, Smolen and Ball eds., Wiley, New York, 1984; Ranger and Peppas, J. Macromol. Sci. Rev. Macromol. Chem., 23:61, 1983; Levy et al., Science 228:190, 1985; During et al., Ann. Neurol., 25:351, 1989; Howard et al., J.Neurosurg. 71:105, 1989). In yet another embodiment, a controlled release system can be placed in proximity of the therapeutic target, i.e., the brain, thus requiring only a fraction of the systemic dose (see, e.g., Goodson, in Medical Applications of Controlled Release,

supra, Vol. 2, pp. 115-138, 1984). Other controlled release systems are discussed in the review by Langer (supra).

5

15

20

25

30

EXAMPLES

The present invention will be better understood by reference to the following Examples, which are provided by way of exemplification and not by way of limitation.

10 EXAMPLE 1: <u>Determination If Opioid Receptor Heterodimerization</u> <u>Modulates Receptor Function</u>

This example provides biochemical and pharmacological evidence for the heterodimerization of two fully functional opioid receptors (kappa and delta), as well as evidence for covalent homodimerization of kappa receptor. This results in a new receptor that exhibits ligand binding and functional properties that are distinct from those of either receptor. Furthermore, the kappa-delta heterodimer synergistically binds highly selective agonists and potentiates signal transduction. Thus heterodimerization of these GPCRs represents a novel mechanism that modulates their function.

Materials and Methods

Abbreviations: DTT, dithiothreitol; β -ME, 2-mercaptoethanol; IAM, iodoacetamide; NEM, n-ethylmaleimide.

Generation of Cell Lines Expressing Opioid Receptors. Cells stably expressing epitope-tagged rat kappa or mouse delta receptors were generated as described previously (Cvejic et al., J. Biol. Chem., 271:4073-4076, 1996). HEK293 or COS cells co-expressing myc-tagged kappa, with FLAG-tagged-kappa, delta, or mu receptors were generated by transfecting cells using calcium phosphate precipitation (Cvejic and Devi, supra). Cells were collected after 72 hours of transient expression. For stable expression, CHO cells were transfected with the

10

15

20

25

FLAG-tagged kappa receptor cDNA in a geneticin selectable vector and myc-tagged delta receptor cDNA in a hygromycin selectable vector (pCEN4, Invitrogen) and were selected with 500 µg/ml each of Geneticin (G418) and Hygromycin (GIBCO). Surface expression was confirmed by flow cytometry using monoclonal anti-FLAG ('M1', Sigma) and polyclonal anti-myc (c-Myc A14, Santa Cruz) antibodies. Three individual clones expressing kappa plus delta receptors at a ratio of approximately 1:1 were used for further studies.

Detection of Dimerization by Immunoprecipitation Techniques and Western Blotting. Cross-linking with DSP (dithiobis-succinimydyl propionate, Pierce), SDS-PAGE and Western blotting were carried out with lysates of whole cells or membranes essentially as described (Cvejic and Devi, supra) except that cells were lysed in buffers containing the protease inhibitor cocktail (10 μ g/ml leupeptin, 10 μg/ml aprotinin, 10 mM EDTA, 1 mM EGTA, 10 μg/ml Bacitracin, 1 mM pepstatin A, 0.5 mM PMSF and 1 mM E-64) and 100 mM iodoacetamide at 4°C for 60 minutes (or at 23°C when lysing with SDS buffer). In the majority of experiments Tx/G buffer (300 mM NaCl, 1% Triton X-100, 10% glycerol, 1.5 mM MgCl2, and 1 mM CaCl2 in 50 mM Tris-Cl, pH 7.4) was used for solubilization. Other solubilization buffers were, RIPA (1% NP-40, 0.5% Deoxycholate, 0.5% SDS, 300 mM NaCl), CHAPS (0.5% in 50 mM NaPO4 buffer, pH 7.4), DM (0.5% Dodecyl β-Maltoside in 50 mM Tris-Cl, pH 7.4) and SDS (2% SDS in 50 mM Tris-Cl, pH 6.8). For immunoprecipitation, 100-200 µg of proteins were incubated overnight at 4°C with 5-10 µg of polyclonal anti-myc antibody. Imunocomplexes were isolated by incubation with 10% v/v of protein A-Sepharose and analyzed by western blotting using monoclonal anti-FLAG antibody as described (Cvejic and Devi, supra). Kappa receptors are differentially glycosylated in CHO and COS cells; this could account for differences in the size of monomers and dimers. 10 mM DTT was added to the immunoprecipitate to create weak reducing conditions and eliminate cross-reactivity with nonspecific proteins. A portion of dimers were converted to monomers under these conditions.

Binding Assays. For membrane preparation, HEK293 cells expressing single or combinations of receptors were washed with PBS, collected with a rubber policeman in 5 mM Tris-Cl buffer, pH 7.4 and incubated for 30 min at room temperature. Cells were disrupted by sonication and subjected to low speed 5 centrifugation to remove organelles and nuclei. The resulting supernatant was subjected to centrifugation at 50,000 xg for 10 min, membranes collected, washed 3 times, resuspended in 50 mM Tris-Cl, pH 7.4 containing a protease inhibitor cocktail and stored at -80°C. Membranes were homogenized upon thawing and approximately $30\text{-}50~\mu g$ of membrane proteins were incubated with 0.5~nM or 5~nM³H-diprenorphine (40 Ci/mmol, NEN/Dupont) for 60 min at 37°C in the absence or 10 presence of 5-8-fold excess of unlabeled ligands; 1 μM unlabeled diprenorphine was used to obtain specific binding. 5nM ³H-diprenorphine labels all receptors in kappa-delta expressing cells. Approximately 45% of the specific binding is not displaced by either 10 μM DPDPE or 10 μM U-69593; this can be selectively labeled by 0.5 nM ³H-diprenorphine, so all studies characterizing kappa-delta heterodimer 15 were carried out with 0.5 nM ³H-diprenorphine. IC50 values were determined from displacement curves using GraphPad Prism 2.0 and the inhibition constant (Ki) values were determined using the Cheng-Prusoff equation (Cheng and Prusoff, Biochem.

20 Internalization Assays. Ligand-induced internalization was carried out by flow cytometry as described previously (Trapaidze et al., J. Biol. Chem., 271:29279-29285, 1996) except that FITC-conjugated anti-mouse antibody was used to detect the monoclonal anti-FLAG antibody ('M1', Sigma) bound to FLAG-kappa receptors and Phycoerythrin-conjugated anti-rabbit antibody to detect the polyclonal anti-myc antibody (c-Myc A14, Santa Cruz) bound to myc-tagged delta receptors.

Pharmacol., 22:3099-3102, 1973)

Functional Assays. CHO cells co-expressing approximately 1:1 ratio of kappa and delta receptors were treated with various doses of agonists (2x DPDPE, 2x U-69593 or 1x DPDPE+ 1x U-69593) for 5 min at 37°C. The intracellular cAMP level was measured by a radioimmunoassay as described previously (Cvejic et al., supra). The level of phosphorylated MAPK was determined by Western blotting

(Polakiewicz *et al.*, J. Biol. Chem., 273:12402- 12406, 1998). Protein loading in the blots was standardized using anti-tubulin antibody (Sigma) to detect tubulin. NIH Image 1.61 software was used to densitize and quantify phospho-MAPK levels. The extent of MAPK phosphorylation in cells treated with 2 nM DPDPE or 2 nM U-69593 or 1nM DPDPE+ 1nM U-69593 is shown in Figure 3F (upper panel). % fold-stimulation refers to the agonist-induced increase in phospho-MAPK levels over untreated levels (taken as control, 100%).

Results

10

15

20

25

Determination Of The Molecular Structure Of Opioid Receptors.

The majority of kappa receptors exist as 130 kDa dimers both in the presence and in the absence of a cross-linker (Figure 1A). The dimers are stable in 10% SDS (not shown). This is unexpected since delta receptors exhibit little or no dimeric forms in the absence of the crosslinker; i.e., crosslinking is required to stabilize delta receptor dimers (Figure 1A). The identity of the kappa-containing dimer was confirmed by immunoprecipitation experiments using differentially tagged receptors. An antibody to the myc-tagged receptor is able to co-precipitate FLAG-tagged receptors from cells expressing both myc-tagged and FLAG-tagged receptors (Figure 1B). The homodimerization of kappa receptors is not induced by detergents or extraction conditions since receptors could be co-precipitated under a variety of conditions and only from cells co-expressing myc- and FLAG-tagged receptors (Figure 1C) and not from a mixture of cells individually expressing the receptors (Figure 1C). Kappa dimers are destabilized in the presence of reducing agents (Figure 1D) suggesting the involvement of disulfide bonds in receptor dimerization. Recently, both the metabotropic glutamate receptor 5 and the calcium sensing receptor were shown to dimerize via disulfide bonds (Romano et al., J. Biol. Chem., 271:28612-28616, 1996; Bai et al., J. Biol. Chem., 273:23605-23610, 1998). Agonist treatment does not induce monomerization of kappa receptor dimers (Figure 1E); this is in contrast to delta receptor dimers which monomerize in the presence of agonists (Cvejic and Devi,

10

15

20

25

30

supra). These results indicate that the properties of kappa receptor dimers are different from those of delta receptor dimers.

Heterodimeric Properties of Kappa Receptors. The ability of kappa receptors to heterodimerize with delta or mu receptors was investigated by co-expressing myc-tagged kappa receptors either with FLAG-tagged delta receptors or FLAG-tagged mu receptors. FLAG-tagged delta receptors were detected in material immunoprecipitated using antibodies specific for myc-tagged kappa receptors (Figure 2A). In contrast, FLAG-tagged mu receptor could not be detected under similar co-precipitation conditions (Figure 2A). These results indicate that kappa receptors selectively dimerize with delta but not mu opioid receptors. Kappa-delta heterodimers are stable in a variety of detergents and are not induced during solubilization/ immunoprecipitation procedures (Figure 2B). Also, kappa-delta heterodimers are destabilized by a reducing agent (Figure 2C) indicating that disulfide bonds are involved in kappa-delta heterodimerization.

heterodimerization on receptor trafficking was determined using cells co-expressing kappa and delta receptors treated with etorphine. Etorphine is a potent non-selective opioid agonist that binds both delta and kappa receptors with high affinity. As was shown previously (Trapaidze, supra; Keith et al., J. Biol. Chem., 271:19021-19024, 1996; Chu et al., J. Biol. Chem., 272:27124-27130, 1997) etorphine is able to induce robust internalization of delta receptors but not kappa receptors in cells individually expressing these receptors (Figure 2D). In contrast, etorphine is not able to induce significant internalization of either receptor in cells expressing both kappa and delta receptors; the internalization of delta homodimers in these cells could account for the observed approximate 25-30% reduction in surface fluorescence (Figure 2D). These results indicate that heterodimerization alters the trafficking properties of these receptors.

Comparison Of Ligand Binding Properties Of Opioid Receptor Heterodimers. The ligand binding properties of kappa-delta heterodimers were compared to the properties of kappa or delta receptors as shown on Table 5.

10

15

20

-55-

Table 5 - Ligand Binding Properties of Kappa-Delta Heterodimer

Ligand	Kappa (Ki	Delta (Ki nM)	Kappa-Delta (Ki
Agonists			
U-69593	14.4 ± 0.2	>1000	>1000
DPDPE	>1000	21.8 ± 2.1	>1000
Dynorphin A	1.3 ± 0.41	56.8 ± 0.3	5.6 ± 0.3
EKC	5.7 ± 0.79	105 ± 1.2	2.6 ± 0.2
Etorphine	1.5 ± 0.73	7.9 ± 0.03	7.0 ± 0.3
Bremazocine	0.4 ± 0.11	7.5 ± 0.03	1.2 ± 0.1
Antagonists			
nor-BNI	4.9 ± 0.3	31.8 ± 1.2	126 ± 9.6
TIPP U	>1000	0.28 ± 0.6	>1000
BNTX	172 ± 19	5.2 ± 0.6	98 ± 19
Naltrinole	44.3 ± 1.1	1.0 ± 0.21	44 ± 1.1
Naloxone	14.9 ± 3.4	43.4 ± 0.3	7.5 ± 0.4
Diprenorphine	0.71 ± 0.27	1.43 ± 1.1	0.3 ± 0.1
Combination of Ligands			·
U-69593 (+10 μM DPDPE)	14.4 ± 0.4		9.2 ± 1.4
DPDPE (+10 µM U-69593)	_	24.8 ± 1.6	20.0 ± 1.3
nor-BNI (+10 μM TIPP ψ)	_	_	0.02 ± 0.003
U-69593 (+10 μM TIPP	_	_	>1000
ψ)			

25

Ligand affinities were determined by competition assays using ³H-diprenorphine as described. Mean±SEM (n=3-4). nor-BNI, nor-binaltorphimine; BNTX, 7-Benzylidenenaltrexone; EKC, ethylketocyclazocine; DPDPE, [D-Pen2, D-Pen5]enkephalin; - not done.

30

35

The ability of highly selective agonists (Lahti *et al.*, Eur. J. Pharmacol., 109:281-284, 1985; Roth *et al.*, J. Med. Chem., 258:299-303, 1990) and antagonists (Portoghese *et al.*, Life Sci., 40:1287-1292, 1987; Schiller et al., J. Med. Chem., 36:3182-3187, 1993) to compete with ³H-diprenorphine (a non-selective opioid antagonist) in membranes from cells expressing either kappa, delta or both kappa and delta receptors was determined (Figures 3A-C). Kappa receptors exhibit high affinities for the kappa-selective agonist (U-69593) and antagonist (nor-BNI). Similarly, delta receptors exhibit high affinities for the delta-selective agonist (DPDPE) and antagonist (TIPPΨ). In contrast, kappa-delta heterodimers do not

20

25

30

exhibit significant affinity for either kappa- or delta-selective agonists or antagonists (Figure 3C; Table 5). However, the heterodimer exhibits high affinity for partially selective ligands (Table 5). The properties of these heterodimers are virtually identical to the properties of the previously reported kappa-2 receptor subtype (Zukin et al., Proc. Natl. Acad. Sci. USA, 85:4061-4065, 1988). Several pharmacological studies have reported the presence of additional subtypes of kappa opioid receptors (Nock, in *The Pharmacology of Opioid Peptides*, L.Tseng ed., pp.29-56, Harwood Acad. Publishers, 1995) as well as delta (Traynor and Elliott, Trends in Pharm. Sci., 14:84-86, 1993; Zaki et al., Ann. Rev. Pharmacol. Toxicol., 36:379-401, 1996).

However, cDNAs corresponding to these subtypes have not yet been identified despite large-scale efforts by a number of laboratories. Recent work with delta receptor knockout mice shows that both delta1 and delta2 receptor subtypes are eliminated in these animals, suggesting that the delta receptor locus encodes both these subtypes (Mathes et al., J. Neurosci., 18:7285-95, 1998). It is possible that heterodimerization of delta or kappa receptors with other GPCRs could form a molecular basis for other receptor subtypes.

It was then determined if the kappa-delta heterodimer could synergistically bind selective agonists. In the presence of the delta-selective agonist (DPDPE), the kappa agonist (U-69593) was able to bind the heterodimer with high affinity (Figure 3D, Table 5). Similarly, in the presence of kappa-selective agonist (U-69593), the delta agonist (DPDPE) is able to bind with high affinity (Figure 3D, Table 5). Interestingly, while a combination of two selective antagonists is also able to bind with high affinity, a combination of a selective agonist (U-69593) with a selective antagonist (TIPPY) is not (Table 5). Also, synergistic binding is not observed in membranes from cells individually expressing kappa or delta receptors (not shown). Taken together, these results imply that kappa-delta heterodimerization results in a new binding site which is able to synergistically bind highly selective ligands.

To determine if the synergistic binding of agonists leads to potentiation of effector function, the previous observation that the activation of opioid receptors by

10

15

20

25

agonists causes a decrease in the level of intracellular cAMP as well as an increase in the level of phosphorylated MAPK was used (Jordan and Devi, Br. J. Anaesthesia, 81:12-19, 1998). The potency of individual agonists to reduce intracellular cAMP levels was found to be about 10-20-fold lower than the combination of both agonists to reduce cAMP levels (Figure 3E). Similarly, there was a significant potentiation of MAPK phosphorylation by simultaneous treatment of cells with both agonists as compared to individual agonists (Figure 3F). These results strongly suggest that the kappa-delta heterodimer represents a functional receptor which exhibits a synergistic activation by selective ligands.

Discussion

These data provide biochemical and functional evidence for opioid receptor heterodimerization. This is the first direct evidence of the heterodimerization of opioid receptor types and of two fully functional GPCRs. The heterodimers exhibit greatly reduced affinities for their selective ligands. Interestingly, selective agonists can co-operatively bind to heterodimers and induce synergistic functional responses. Heterodimerization could be a mechanism for the activation of receptors upon the co-release of selective endogenous peptides. Alternatively, kappa-delta heterodimers could represent a hitherto uncharacterized receptor for a specific endogenous opioid peptide. The number of endogenous opioid peptides are far greater than the number of cloned opioid receptors (Lord, *supra*). Opioid receptor subtypes resulting from heterodimerization of opioid receptors with other GPCRs could be targets for the action of these endogenous peptides. The physical interactions between GPCRs has enormous ramifications in understanding the complexity of regulation of their function. Heterodimerization of opioid receptors also points to additional targets for the development of drug therapies.

10

15

20

25

30

EXAMPLE 2: Heterodimerization of Mu and Delta Opioid Receptors. A

Novel Super High Affinity Morphine Binding Site

Uncovered by Opiate Synergy.

Materials and Methods

Generation of Cell Lines Expressing Opioid Receptors and Isolation of Receptor Heterodimers by Immunoprecipitation. Cells expressing mu-delta receptors were generated by transfecting HEK-293 cells or CHO cells with FLAGtagged mouse mu receptor cDNA and myc-tagged delta receptor cDNA using standard calcium phosphate precipitation. In the majority of the studies, the mu:delta receptor ratio for HEK cells was approximately 1:3 and for CHO cells was 3:1. HEK-293 cells transiently transfected with tagged opioid receptor were lysed in buffer Tx/G (300 mM NaCl, 1% Triton X-100, 10% glycerol, 1.5 mM MgCl₂, and 1 mM CaCl₂ in 50 mM Tris-Cl, pH 7.4), containing a protease inhibitor cocktail and 10-100mM iodoacetamide for 1 h at 4°C. The protease inhibitor cocktail contained 10 $\mu g/ml$ leupeptin, 10 μg/ml aprotinin, 10mM EDTA, 1mM EGTA, 10 μg/ml Bacitracin, 1mM pepstatin A, 0.5mM PMSF and 1 mM E-64. Approximately 10 µg of protein was resolved on a nonreducing 8% SDS-PAGE and subjected to Western blotting as described (Cvejic et al., supra). For immunoprecipitation, 100-200 µg of protein was incubated with 1-2 µg of polyclonal anti-myc antibody overnight at 4°C. Immunocomplexes were isolated by incubation with 10% v/v Protein A-Sepharose (Sigma) for 2-3 hours. The beads were washed 3X with the buffer G and analyzed by western blotting using monoclonal anti-FLAG antibody as described (Cvejic et al., supra).

Membrane Binding Assays. The HEK or CHO cells were disrupted by sonication. The cell membranes were collected as described (Jordan and Devi, Nature, 399:697-700, 1999). For most assays, membranes (representing 5-10 μg of total protein that would give 5-10% specific ³H-diprenorphine binding) were incubated with 2nM [³H]-diprenorphine in 50mM Tris-Cl pH 7.4 buffer for 60

10

15

20

25

30

minutes at 37°C in the absence or presence of indicated concentrations of unlabeled ligands in a final volume of 500µl. 1 µM unlabeled diprenorphine was used to obtain the background. The membranes were then collected on Whatman GF-B filters, washed with cold 50 mM Tris-Cl pH 7.4, and then incubated in scintillation liquid for 16h. IC50 values were determined from displacement curves using GraphPad Prism 2.0 and the inhibition constant (Ki) using the Cheng-Prusoff equation (Cheng and Prusoff, *supra*).

Whole Cell Binding assays. SK-N-SH cells that endogenously express mu and delta receptors, or CHO cells expressing FLAG-tagged mu alone or co-expressing FLAG-tagged mu and *myc*-tagged delta receptors were plated on 24-well plates, and after 18 h the media was removed and cells were incubated with ³H-DAMGO (3 nM final concentration) in 50 mM Tris-HCl buffer pH 7.4 (Buffer A) in a final volume of 300μl. The binding was carried out for 2 hours at 37°C in the absence or presence of varying concentrations of TIPPΨ, Deltorphin II, DPDEP, naltriben or BNTX. At the end of the incubation plates were transferred to 4°C and the wells were washed 5 times with 0.5 ml of cold 50 mM Tris-HCl, pH 7.4. Cells were dissolved in 100μl 1N NaOH, collected, neutralized with 100μl 1N HCl and the radioactivity was measured in Biosafe scintillation fluid. Non-specific binding was determined in the presence of 100 nM DAMGO or diprenorphine. Concentrations of ³H-DPDPE from 0.1 to 20 nM were used for saturation analysis.

Results

The ability of mu receptors to dimerize was predicted by studies showing that the dimeric analogs of morphine exhibited higher affinity and several-fold greater potency than their monomeric forms (Cvejic et al., supra). This was directly tested by co-expressing FLAG-tagged mu receptors with myc-tagged delta receptors. Cell lysates were immunoprecipitated with polyclonal anti-myc antibodies and western blotted with monoclonal anti-FLAG antibody (Cvejic et al., supra). Under these conditions mu receptors were found to associate with delta receptors to form an approximately 150 kDa heterodimer (Figure 4). These heterodimers are not

10

15

20

25

30

induced during solubilization/immunoprecipitation conditions since lysates of a mixture of cells individually expressing either mu or delta did not show the presence of a heterodimer (Figure 4). These mu-delta heterodimers are stable in a variety of detergents and extraction conditions (not shown). Like kappa-delta heterodimers, the mu-delta heterodimers were also sensitive to reducing agents since treatment of cells with 1 mM DTT for 30 min followed by 5 mM iodoacetamide resulted in the destabilization of dimers (Figure 4, lane 5).

In order to explore if heterodimerization affects the ligand binding properties, a competitive ligand binding assay was performed using a non-selective opioid antagonist, [3H]-diprenorphine, that binds both receptor types with equally high affinity. The ability of delta-selective antagonist TIPPΨ to modulate the affinity of a mu-selective antagonist CTOP was examined in cells expressing mu and delta receptors. CTOP was able to compete for [3H]-diprenorphine with high affinity (Figure 5). Interestingly, when the ability of CTOP to compete [3H]-diprenorphine in the presence of 1 nM TIPPΨ was examined, an additional super high affinity was revealed (Figure 5). This super high affinity site was not seen in cells expressing mu receptors alone (not shown). These results strongly support the notion that heterodimerization induces synergistic interaction between receptors resulting in the exposure of a novel binding site with increased affinity.

The mu-delta heterodimers were further characterized in cells coexpressing mu and delta receptors and in SK-N-SH neuroblastoma cells (that
endogenously express mu and delta receptors) (Figure 6). Binding of a radiolabeled
mu-agonist to whole cells was examined in the absence or presence of a deltaantagonist, TIPPΨ. The number of ³H-DAMGO binding sites is substantially
increased (by about 50%) in the presence of 10 nM TIPPΨ in both cell lines (Figures
6A, B); this increase was not seen in cells expressing only mu receptors (not shown).
These results suggest that a population of mu receptors is able to bind the mu agonist
only upon treating the cells with the delta antagonist. In order to examine if this
population is uncovered by agonists of the delta receptors, two subtype selective
agonists, DPDPE (delta-1) and deltorphin II (delta-2) were used. The increase in the

10

15

20

25

30

population of receptors was seen only in the presence of deltorphin II and not in the presence of DPDPE (Figures 6C, D); the increase with deltorphin is not seen with cells expressing mu receptors alone (not shown). The properties of these heterodimers parallel that of the properties reported for the delta-2 receptor subtype suggesting that the mu-delta heterodimer represents the hitherto elusive delta-2 subtype of opioid receptors.

The ability of a combination of ligand to potentiate effector functions was examined using the phosphorylated MAPK assay (Jordan and Devi, DNA and Cell Biol., 19:19-27, 2000). There is a significant increase in the DAMGO-induced MAPK phosphorylation by 10 nM TIPPΨ suggesting that the mu-delta heterodimer represents a functional receptor, and delta antagonist is able to potentiate mu agonist function.

Taken together, these results support the thesis that the interaction between mu and delta receptor leading to heterodimerization is the basis for previously reported cross-talk between these two receptors.

Discussion

We have provided biochemical and functional evidence for mu-delta opioid receptor heterodimerization. By selectively co-expressing two opioid receptor types in cells lacking opiate binding, we have observed the generation of a mu-delta heterodimer. Examination of the ligand binding properties shows the presence of a novel binding site in heterologous cells expressing mu and delta receptors as well as in neuroblastoma cells that contain endogenous receptors. This novel high affinity binding site is revealed by the synergistic binding of receptor type selective ligands. Morphine and other opiates bind this site with very high affinity (K_i =10⁻¹³ M). Thus, receptor heterodimerization forms the molecular basis for the generation of this very high affinity opiate receptor. This has enormous clinical significance as well as high impact on drug abuse research since it represents another mechanism that could modulate receptor function and provides a new strategy for the development of novel therapies.

EXAMPLE 3: <u>Heterodimerization of Kappa and Delta Opioid Receptors</u> with Catecholamine Receptors

5

This example presents data that show that kappa receptors heterodimerize with β_2 -adrenergic and D2 dopamine receptors, and that delta receptors heterodimerize with mu opioid, β_2 -adrenergic, and D2 dopamine receptors.

10

15

20

25

30

Devi, supra).

Materials and Methods

Generation of cell lines expressing opioid receptors. The rat kappa opioid receptor cDNA, mouse delta, or mouse mu receptor cDNA were tagged with either a FLAG epitope or a myc epitope by an overlapping extension polymerase chain reaction and subcloned into pCDNA-3 (Invitrogen). Human FLAG-tagged β_2 -adrenergic receptor or D2 dopamine receptor cDNAs were obtained from Dr. J. Jaritch (Columbia University) and HA-tagged α_2 -adrenergic receptors were obtained from Dr. L. Limberd (Vanderbilt University). Cells expressing delta plus mu, kappa plus β_2 , kappa plus D2, delta plus β_2 and delta plus D2 receptors were generated by transfecting either HEK293 or COS cells (for transient expression), as described Example 1, Materials and Methods.

Dimerization, Immunoprecipitation and Western blotting.

Cross-linking, SDS-PAGE and Western blotting were carried out on whole cell lysates or membranes essentially as described (Cvejic and Devi, *supra* and Example 2) except that cells were lysed at room temperature for 60 minutes. For immunoprecipitation 1-2 µg of proteins were incubated overnight at 4°C with either 0.5 µg of monoclonal anti-FLAG antibody (Sigma), 0.5 µg of polyclonal anti-myc antibody (Santa Cruz) or monoclonal anti-HA antibody (BABCO). Immunocomplexes were isolated by incubation with 20 µg of protein A-Sepharose followed by centrifugation and analyzed by Western blotting as described (Cvejic and

Results

Kappa receptors heterodimerize with β_2 -adrenergic receptor and D2 dopamine receptor. Also, delta receptors heterodimerize with mu opioid, β_2 -adrenergic, D2 dopamine receptors and α_2 A-adrenergic receptors. Recently we have also found that mu opioid receptors heterodimerize with α_2 A-adrenergic receptors. These heterodimers are observed only in lysate from cells co-expressing the two receptors and not in the lysate from a mixture of cells individually expressing these receptors (Figures 4, 7).

10

5

Example 4: Investigation of GPCR Heterodimerization and the Effect of Agonist/ Antagonist Binding Using the BRET Technique

15

20

25

30

General Methodology. The Bioluminescence Resonance Energy Transfer (BRET) technique measures the transfer of energy between a luminescent donor (e.g., luciferase) and a fluorescent acceptor (e.g., yellow fluorescent protein, YFP). When luciferase is used as a luminescent donor, the catalytic degradation of its substrate, coelenterazine, leads to the release of the bioluminescent energy which can excite YFP. The resulting fluorescence emission is used as a measure of physical association between the two proteins. Since the Forster energy transfer occurs only when the distance between the donor and acceptor is less than 100Å, this method is ideally suited to examine protein-protein interactions (see, e.g., Xu et al., Proc. Natl. Acad. Sci. U S A, 96:151-156, 1999; Angers et al., Proc. Natl. Acad. Sci. U S A, 97:3684-3689, 2000).

According to the present invention, BRET is used to examine heterodimerization between opioid receptor types and between opioid and catecholamine receptors (see, e.g., Angers et al., supra; Angers et al. successfully applied the BRET technique to examine homo-dimerization of β_2 -adrenergic

10

15

20

25

-64-

receptor). In addition, BRET is used to investigate the effect of various candidate compounds as well as known receptor agonists/antagonists (or a combination of agonists/antagonists) on the level of dimers.

Constructs. Fusion proteins containing delta or mu opioid receptors fused at their C-termini to luciferase (for delta-Rluc and mu-Rluc) or YFP (for delta-YFP and mu-YFP) were prepared. Fusion proteins were constructed by PCR-amplification of a complete coding sequence of the delta or mu receptor without their stop codon. The amplification was performed using primers harboring a unique NheI restriction site. The resulting amplified fragments were subcloned in-frame into the NheI site of the YFP expression vector (Clontech) or pRL-CMV-Rluc expression vector (Promega). A similar strategy can be used to generate fusion proteins of various opioid and catecholamine receptors.

Assays. For the BRET assay, HEK-293 cells are co-transfected with delta-Rluc and mu-YFP fusion proteins. In a parallel assay, cells are co-transfected with delta-YFP and mu-Rluc. Cells are collected after 48 hours and incubated with 5 μM coelenterazine (Molecular Probes). Light emission spectra are acquired from 400 nm to 600 nm using a spectrofluorimeter. The signals at 440-500 nm (luciferase emission) and 510-590 nm (YFP emission) are integrated. The ratio of the integrated emission values is used as a measure of dimerization.

Compound screens. To study the effect of various candidate compounds and known agonists/antagonists on receptor dimerization, the transfected cells are incubated with a test substance prior to treatment with 5 μ M coelenterazine. Control cells are incubated without a test substance.

* * *

The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and the accompanying figures. Such modifications are intended to fall within the scope of the appended claims.

It is further to be understood that all base sizes or amino acid sizes, and all molecular weight or molecular mass values are approximate, and are provided for description.

All patents, patent applications, publications, and other materials cited herein are hereby incorporated herein by reference in their entireties.

-66-

WE CLAIM:

1	1. An isolated heterodimeric receptor, which receptor comprises			
2	an opioid receptor subunit and a second G-protein coupled receptor (GPCR) subunit,			
3	wherein both receptor subunits are expressed endogenously in the same type of cell.			
1	2. The heterodimeric receptor of claim 1, wherein the second			
2	receptor is an opioid receptor that is distinct from the first opioid receptor.			
1	3. The heterodimeric receptor of claim 1, wherein the second			
2	receptor is a dopamine receptor.			
1	4. The heterodimeric receptor of claim 1, wherein the second			
2	receptor is an adrenergic receptor.			
1	5. The heterodimeric receptor of claim 1, wherein the second			
2	receptor is a chemokine receptor.			
1	6. The heterodimeric receptor of claim 1, wherein the opioid			
2	receptor is a delta opioid receptor and the second receptor is selected from the group			
3	consisting of kappa opioid receptor, mu opioid receptor, D2 dopamine receptor, and			
4	β_2 -adrenergic receptor.			
1	7. The heterodimeric receptor of claim 1, wherein the opioid			
2	receptor is a kappa opioid receptor and the second receptor is selected from the group			
3	consisting of delta opioid receptor, D2 dopamine receptor, α_2 -adrenergic receptor, β_2 -			

adrenergic receptor, CCR5, and CXCR4.

chemokine receptor.

-67-

		••			
1	8.	The heterodimeric receptor of claim 1, wherein the opioid			
2	receptor 1s a mu opioid receptor and the second receptor is selected from the group				
3	consisting of delta	opioid receptor and α_2 -adrenergic receptor.			
1	9.	The heterodimeric receptor of claim 1, wherein the opioid			
2	receptor is a fusion	n protein comprising a sequence of a functional opioid receptor			
3	protein and a tag s	equence.			
1	10.	The heterodimeric receptor of claim 1, wherein the second			
2	receptor is a fusion protein comprising a sequence of a functional second receptor				
3	protein and a tag	sequence.			
1	11.	A recombinant host cell that expresses a functional			
2	heterodimeric rec	eptor, which receptor comprises an opioid receptor subunit			
3	expressed from ar	expression vector introduced into the host cell, and a second G-			
4	protein coupled receptor (GPCR) subunit expressed from an expression vector				
5	introduced into th	e host cell, wherein both receptor subunits are expressed			
6	endogenously in t	he same type of cell.			
1	12	The host cell of claim 11, wherein the second receptor is a			
2	different opioid re	ceptor or a covalently associated opioid receptor.			
1	13	The host cell of claim 11, wherein the second receptor is a			
2	dopamine recepto	r.			
1	14	The host cell of claim 11, wherein the second receptor is an			
2	adrenergic recept	or.			
1	15	The host cell of claim 11, wherein the second receptor is a			

-68-

1	16. The host cell of claim 11, wherein the opioid receptor is a delta			
2	opioid receptor and the second receptor is selected from the group consisting of kappa			
3	opioid receptor, mu opioid receptor, D2 dopamine receptor, and β_2 -adrenergic			
4	receptor.			
1	17. The host cell of claim 11, wherein the opioid receptor is a			
2	kappa opioid receptor and the second receptor is selected from the group consisting of			
3	delta opioid receptor, D2 dopamine receptor, α_2 -adrenergic receptor, β_2 -adrenergic			
4	receptor, CCR5, and CXCR4.			
1	18. The host cell of claim 11, wherein the opioid receptor is a mu			
2	opioid receptor and the second receptor is selected from the group consisting of delta			
3	opioid receptor and α_2 -adrenergic receptor.			
1	19. A method of screening for a compound that modulates a			
2	property of a heterodimeric receptor, which receptor comprises an opioid receptor			
3	subunit and a second G-protein coupled receptor (GPCR) subunit, wherein both			
4	receptor subunits are expressed endogenously in the same type of cell, which method			
5	comprises observing a change in a property of the heterodimeric receptor contacted			
6	with a candidate compound.			
1	20. The method according to claim 19, wherein the heterodimeric			
2	receptor property is trafficking of the heterodimeric receptor.			
1	21. The method according to claim 19, wherein the heterodimeric			
2	receptor property is binding affinity for a ligand.			
1	22. The method according to claim 19, wherein the heterodimeric			

receptor property is activation of a signal transduction pathway.

1		23.	The method according to claim 22, wherein the signal		
2	transduction pathway is selected from the group consisting of cAMP production and				
3	MAPK phosphorylation.				
1		24.	A bispecific, bivalent compound comprising an opioid receptor		
2	ligand bound	to a seco	ond G-protein coupled receptor ligand, wherein the second		
3	receptor is expressed endogenously in a type of cell that endogenously expresses the				
4					
1		25.	The compound of claim 24, wherein both ligands are agonists.		
1		26.	The compound of claim 24, wherein both ligands are		
2	antagonists.		•		
	_				
1		27.	The compound of claim 24, wherein both ligands are kappa		
2	receptor ligan	ds.			
1		28.	The compound of claim 24, wherein the opioid receptor ligand		
2	is a kappa rec	eptor ag	conist and the second receptor ligand is a delta receptor agonist.		
	••				
1		29.	A pharmaceutical composition comprising synergistically		
2	effective amo	unts of a	a ligand of a delta opioid receptor and a ligand of a second		
3	receptor selected from the group consisting of kappa opioid receptor, mu opioid				
4 receptor, D2 dopamine receptor, and β ₂ -adrenergic receptor.			-		
1		30.	A pharmaceutical composition comprising synergistically		
2	effective amo	unts of a	a ligand of a kappa opioid receptor and a ligand of a second		
3	receptor selec	ted fron	the group consisting of delta opioid receptor, D2 dopamine		
4	receptor a -adrenergic recentor B -adrenergic recentor CCR5 and CYCR4				

-70-

1	31. A pharmaceutical composition comprising synergistically
2	effective amounts of a ligand of a mu opioid receptor and a ligand of a second
3	receptor selected from the group consisting of delta opioid receptor and α_2 -adrenergic
4	receptor.
1	32. A method of treating a disease or disorder selected from the
2	group consisting of chronic pain, drug abuse, schizophrenia, depression, central
3	reward pathway, HIV infection, cardiovascular disease, and hypertension, which
4	method comprises administering a therapeutically effective dose of a compound of
5	claim 24 or a pharmaceutical composition of claim 29, 30, or 31.





(43) International Publication Date 21 December 2000 (21.12.2000)

International Bureau

(10) International Publication Number WO 00/77238 A1

- (51) International Patent Classification7: C12P 21/06, 21/04, C12N 1/20, 15/00, 15/09, 15/63, 15/70, 15/74, 5/00, 5/02, C07K 1/00, 14/00, 17/00, C07H 21/04, G01N 33/53, 33/567
- (21) International Application Number: PCT/US00/16559
- (22) International Filing Date: 15 June 2000 (15.06.2000)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/139,528

16 June 1999 (16.06.1999)

(71) Applicant (for all designated States except US): NEW YORK UNIVERSITY [US/US]; 4 Washington Square, New York, NY 10010 (US).

- (72) Inventors; and
- Inventors/Applicants (for US only): DEVI, Lakshmi, A. [IN/US]; 9 Glenwood Avenue, New Rochelle, NY 10801 (US). JORDAN, Bryen, A. [US/US]; 243 East 33rd Street, New York, NY 10016 (US).
- (74) Agents: FEHLNER, Paul, F. et al.; Darby & Darby, P.C., 805 Third Avenue, New York, NY 10022-7513 (US).
- (81) Designated States (national): AU, CA, JP, US.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: HETERODIMERIC OPIOID G-PROTEIN COUPLED RECEPTORS

(57) Abstract: Opioid receptors form functional heterodimers with each other and with other G-protein coupled receptors, such as dopamine receptors, adrenergic receptors, or chemokine receptors. These receptors can be exploited for high throughput screening of compounds to identify heterodimer opioid receptor modulators (agonists and antagonists). The invention also relates to identification of novel heterodimer receptor ligands and synergistic compositions, which can provide strategies for analgesia, narcotic addiction, hypertension, HIV infection, and immune system function.

PCT/US00/16559

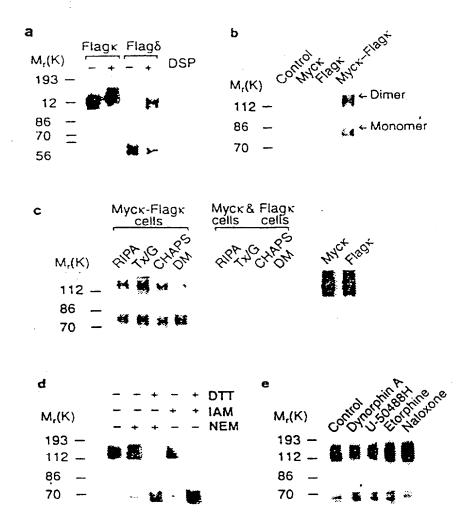
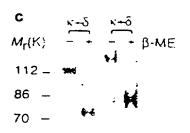
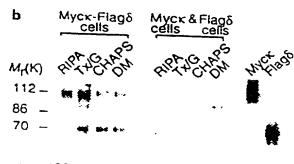
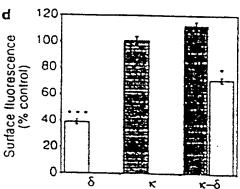
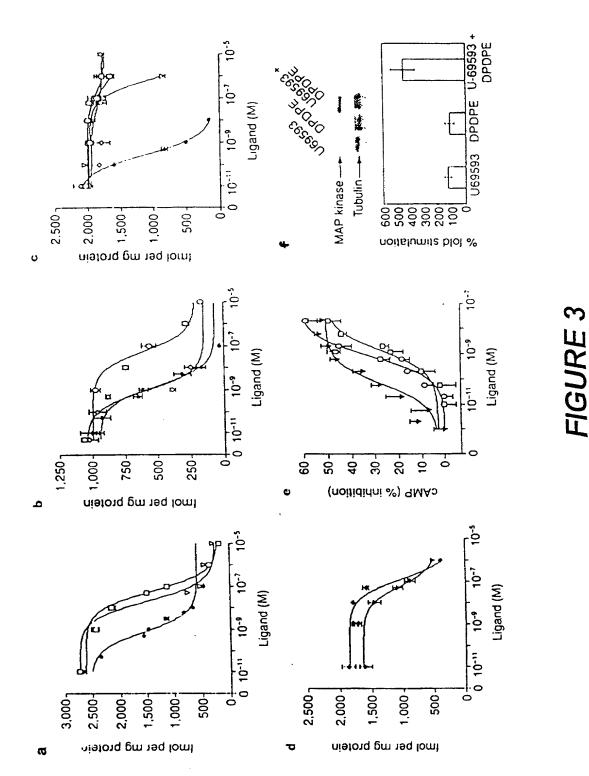


FIGURE 1









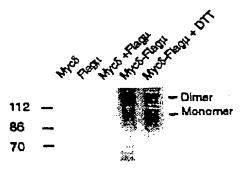


FIGURE 4

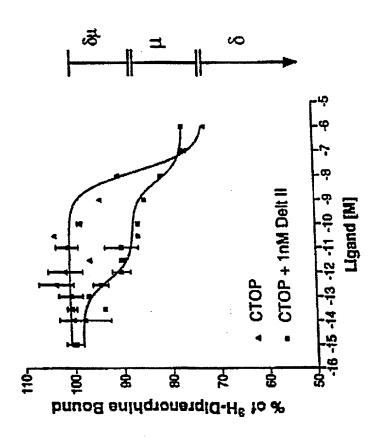
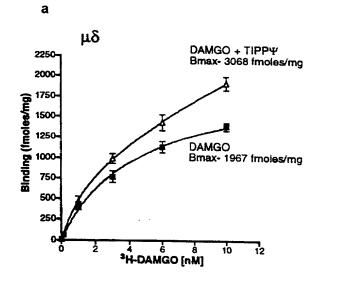
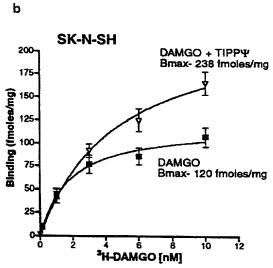


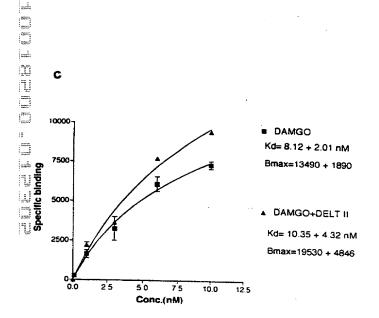
FIGURE 5

WO 00/77238

PCT/US00/16559







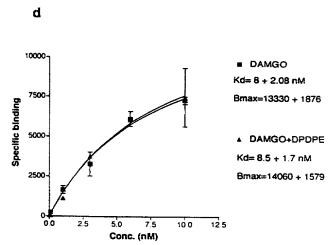
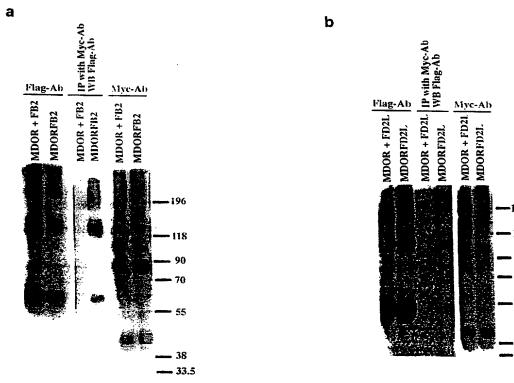


FIGURE 6





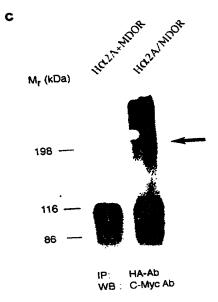


FIGURE 7

Docket No.: 5986/1F684-US1

DECLARATION AND POWER OF ATTORNEY Original Application

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed at 1 below, or a joint inventor if plural inventors are named below, of the invention entitled:

HETERODIMERIC OPIOID G-PROTEIN COUPLED RECEPTORS

which is described and claimed in:

[] the attached specification or

[X] the specification which was filed as International Application No. PCT/US00/16559 on June 15, 2000

that I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 CFR §1.56. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim the priority benefits under 35 U.S.C. §119 of any application(s) for patent or inventor's certificate listed below. All foreign applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed are also identified below.

PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED

COUNTRY

APPLICATION NO.

DATE OF FILING

US

60/139,528

16 June 1999

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1:

DATED: 16 Jan 02

SIGNATURE OF INVENTOR 2:

DATED: 17 Jan 02

ALL FOREIGN APPLICATIONS, IF ANY, FILED PRIOR TO THE APPLICATION(S) OF WHICH PRIORITY IS CLAIMED

COUNTRY

APPLICATION NO.

DATE OF FILING

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agents(s) to prosecute this application and transact all business in the Patent and Trademark office connected therewith: Gordon D. Coplein #19,165, William F. Dudine, Jr. #20,569, Michael J. Sweedler #19,937, S. Peter Ludwig #25,351, Paul Fields #20,298, Marc S. Gross #19,614, Harold E. Wurst #22,183, Joseph B. Lerch #26,936, Melvin C. Garner #26,272, Ethan Horwitz #27,646, Beverly B. Goodwin #28,417, Adda C. Gogoris #29,714, Martin E. Goldstein #20,869, Bert J. Lewen #19,407, Henry Sternberg #22,408, Robert A. Green #28,301, Peter C. Schechter #31,662, Robert Schaffer #31,194, David R. Francescani #25,159, Robert C. Sullivan, Jr. #30,499, Ira J. Levy #35,587, Joseph R. Robinson #33,448; Pierre R. Yanney #35,418, Irina Vainberg #48,008

all of the firm of DARBY & DARBY P.C., 805 Third Avenue, New York, NY 10022

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

DARBY & DARBY P.C. 805 Third Avenue New York, NY 10022

Avenue x, NY 10022 2

212-527-7700 (direct ext. 7665)

Paul Fehlner, Ph.D.

FULL NAME AND RESIDENCE OF INVENTOR 1

LAST NAME: DEVI

FIRST NAME: Lakshmi

MIDDLE NAME: Arehole

CITY: New Rochelle

STATE OR FOREIGN COUNTRY: New York

COUNTRY OF CITIZENSHIP: India

POST OFFICE ADDRESS: 9 Glenwood Avenue

CITY: New Rochelle

STATE OR COUNTRY: New YorkZIP CODE: 10801

FULL NAME AND RESIDENCE OF INVENTOR 2

LAST NAME: JORDAN

FIRST NAME: Bryen

MIDDLE NAME: Alexander

CITY: New York

STATE OR FOREIGN COUNTRY: New York

COUNTRY OF CITIZENSHIP: USA

POST OFFICE ADDRESS: 243 East 33rd Street, Apt # 3B

CITY: New York

STATE OR COUNTRY: New YorkZIP CODE: 10016